

# Gravity Wave Parameterizations in the NCAR CESM

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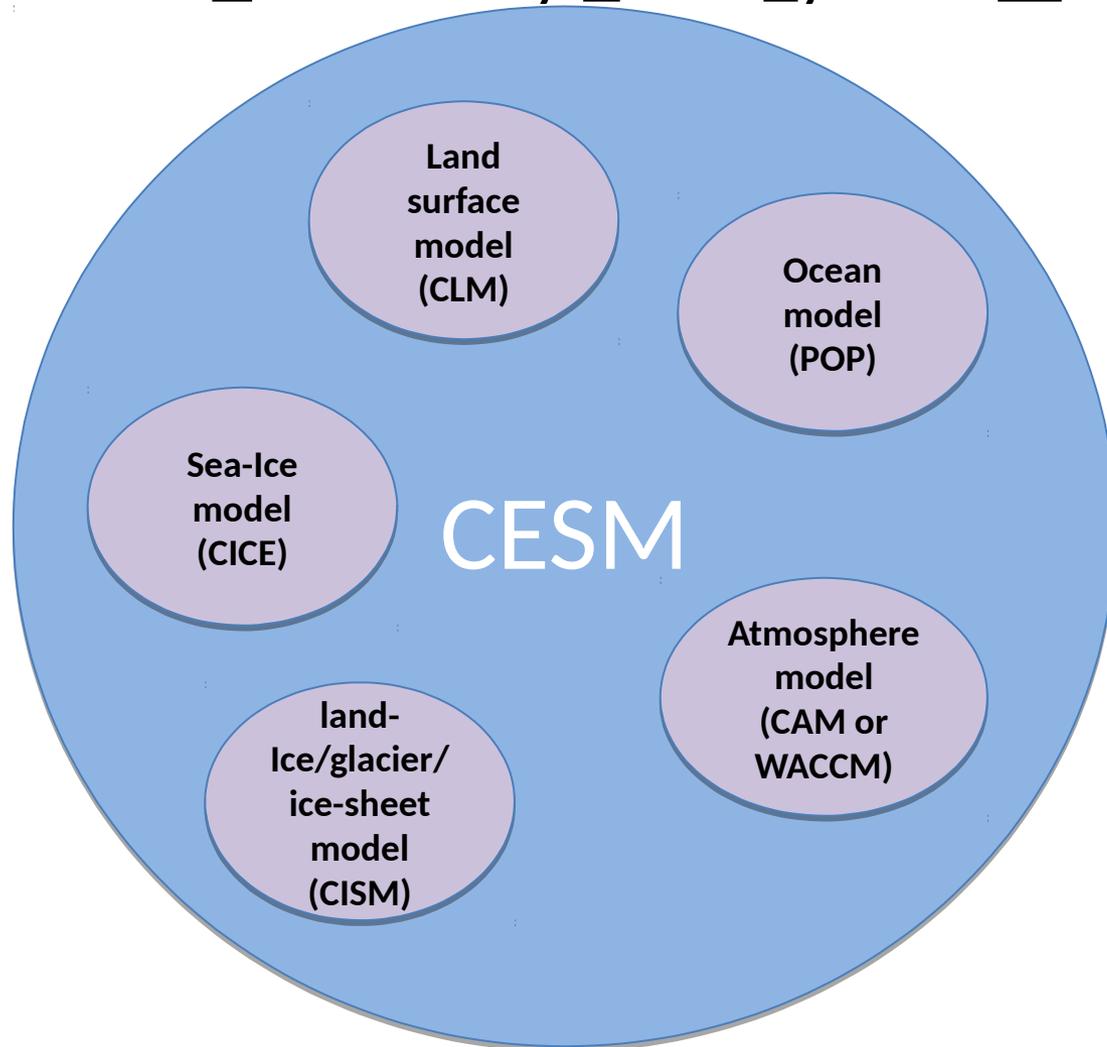


# Overview

- Background - WACCM/CAM/CESM
- New Developments for GWP
  - Ancillary topography files
  - Anisotropic/blocking scheme
- Results
  - AMIP runs
  - DART assimilation runs to assess parameterization
- Future Directions

WACCM, CAM, CESM ..., CCSM, CSM, ... ??

CESM=Community Earth System Model



# WACCM, CAM, CESM ..., CCSM, CSM, ... ??

Atmosphere  
model  
(CAM or  
WACCM)

- CAM=Community Atmosphere Model
- WACCM=Whole Atmosphere Community Climate Model
- CAM: model top at ~2hPa (~45km). Poorly resolved stratosphere. Simplified chemistry.
- WACCM: model top ~110km. Non-LTE, ions, ...
- Both CAM and WACCM are run fully-coupled (w/ dynamic ocean) or in stand-alone (w/ prescribed sea-surface temperatures)
- CAM and WACCM are (nearly) the same model below 45km, although WACCM has usually been a version or two behind in the troposphere. *Trying to change this in CESM2.*
- CAM GWP includes only orographic source. WACCM GWP has frontal and convective sources as well.
- Typical horizontal resolution for long integrations: 100km

<b>Model</b>	<b>CAM3 CCSM3</b>	<b>CAM4 CCSM4</b>	<b>CAM5 CESM1.0</b>	<b>CAM5.2 CESM1.1</b>	<b>CAM6 CESM2</b>
<b>Release</b>	<b>Jun 2004</b>	<b>Apr 2010</b>	<b>Jun 2010</b>	<b>Nov 2012</b>	<b>Sometime 2017</b>
PBL	Holtzlag- Boville (1993)	Bretherton et al (2009)	Bretherton et al (2009)	Bretherton et al (2009)	CLUBB
Orographic form drag			Richter et al. (2010)	Richter et al. (2010)	Beljaars et al.2003
GW drag	McFarlane (1987)	McFarlane (1987)	McFarlane (1987) (non-orographic source updated for WACCM)	McFarlane (1987)	Anisotropic/Low- level nonlinearities
Shallow Convection	Hack (1994)	Hack (1994)	Park et al. (2009)	Park et al. (2009)	CLUBB
Deep Convection	Zhang- McFarlane (1995)	Neale et al. (2008)	Neale et al. (2008)	Neale et al. (2008)	Neale et al. (2008)
Microphysics	Rasch- Kristjansson (1998)	Rasch- Kristjansson (1998)	Morrison- Gettelman (2008)	Morrison- Gettelman (2008)	Morrison- Gettelman v2 (2014)
Macrophysics	Rasch- Kristjansson (1998)	Rasch- Kristjansson (1998)	Park et al. (2011)	Park et al. (2011)	CLUBB
Radiation	Collins et al. (2001)	Collins et al. (2001)	Iacono et al. (2008)	Iacono et al. (2008)	Iacono et al. (2008)
Aerosols	Bulk Aerosol Model	Bulk Aerosol Model BAM	Modal Aerosol Model Ghan et al. (2011)	Modal Aerosol Model Ghan et al. (2011)	Modal Aerosol Model Ghan et al. (2011)
Dynamics	Spectral	Finite Volume	Finite Volume	Spectral element	Finite Volume

switch/update

# Orographic GW drag in CAM

**McFarlane, N. A. (1987).** The effect of orographically excited gravity wave drag on the general circulation of the lower stratosphere and troposphere. *Journal of the Atmospheric Sciences*, 44(14), 1775-1800.

***Isotropic topography. No low-level blocking. Lindzen-type wave model***

# Orographic GWP drag in CAM

**Never Implemented in CAM: Anisotropy, low-level blocking, e.g.;**

**Lott, F., and M. J. Miller (1997).** A new subgrid-scale orographic drag parametrization: Its formulation and testing. *Quarterly Journal of the Royal Meteorological Society* 123.537: 101-127.

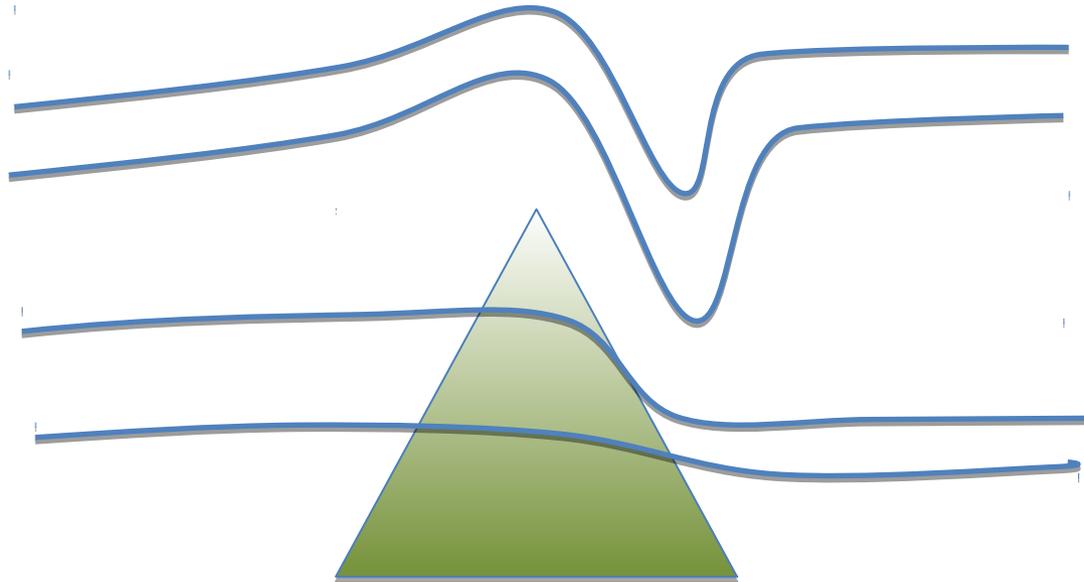
**Gregory, D., Shutts, G. J., & Mitchell, J. R. (1998).** A new gravity-wave-drag scheme incorporating anisotropic orography and low-level wave breaking: Impact upon the climate of the UK Meteorological Office Unified Model. *Quarterly Journal of the Royal Meteorological Society*, 124(546), 463-493.

**Scinocca, J. F., & McFarlane, N. A. (2000).** The parametrization of drag induced by stratified flow over anisotropic orography. *Quarterly Journal of the Royal Meteorological Society*, 126(568), 2353-2393.

**Alpert, J. C. (2004)** Sub-grid scale mountain blocking at NCEP. *Proceedings of 20th Conference on WAF, 16th conference on NWP.*

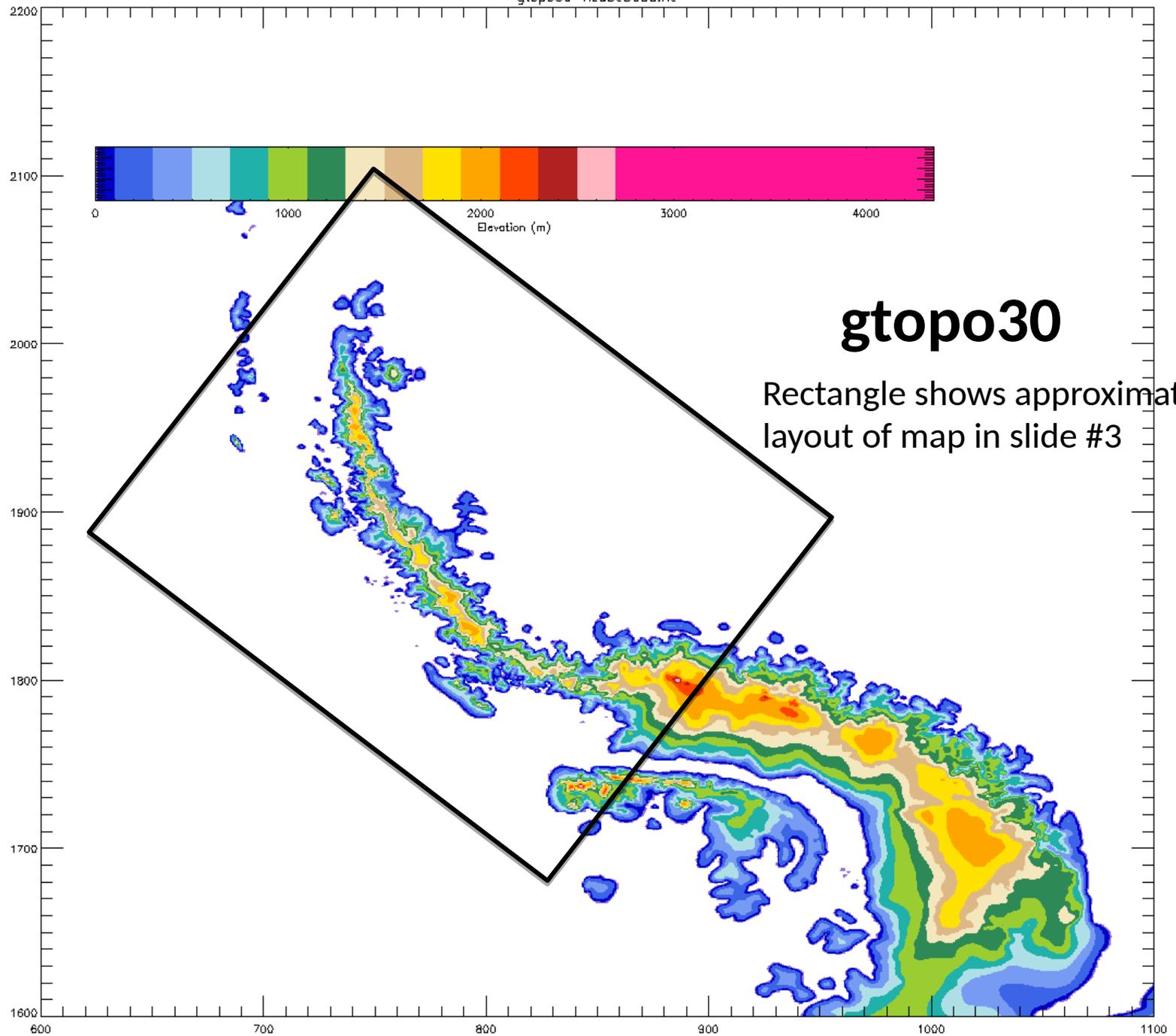
# Blocking, low-level turning

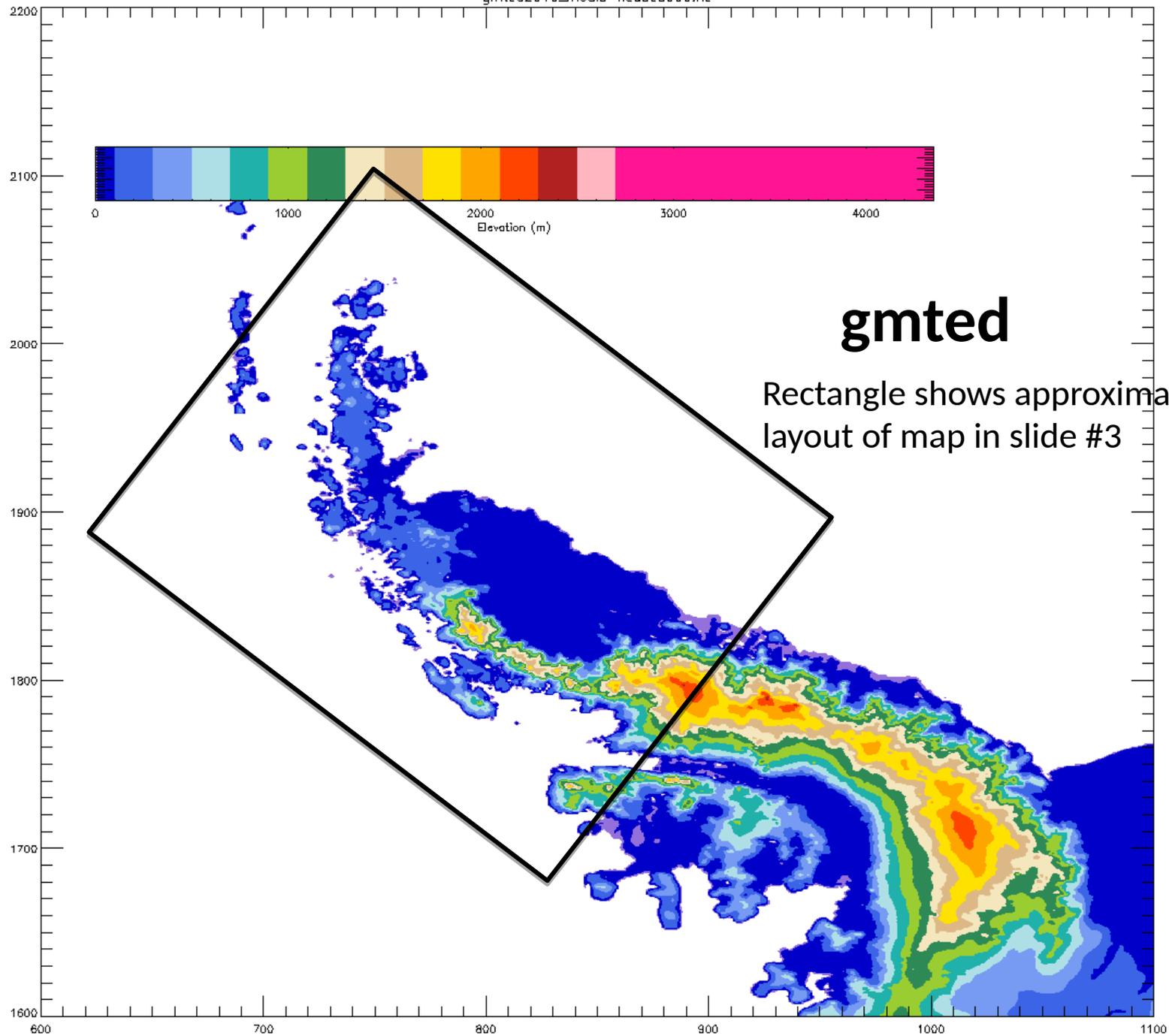
Parameterization allows flow around obstacles – *form drag* - as well as “downslope wind” high-drag dynamics (*following Scinocca&McFarlane 2000*)



# Generation of ancillary topography files

- No traceable process for generating topography forcing data existed for CESM1 or earlier versions.
  - Derivation of subgrid variables and smoothing of mean elevations left up to dycore developers. **Note: all dycores employ additional smoothing beyond binning to grid.**
- New procedure starts from 1km GMTED2010 data (or GTOPO30) mapped to 3km cubed sphere grid. Further processing follows from 3km cubed sphere topo (*Lauritzen et al. GMD 2015*)





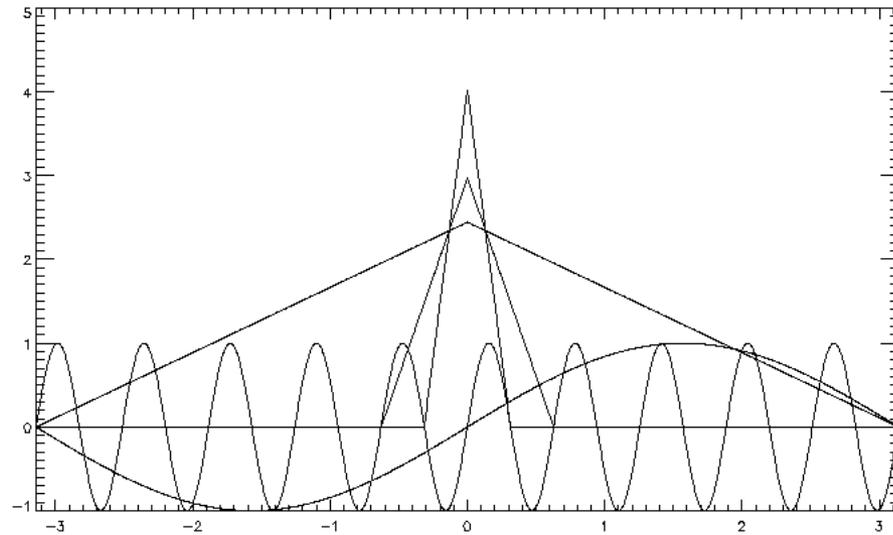
**gmted**

Rectangle shows approximate layout of map in slide #3

# Generation of ancillary topography files

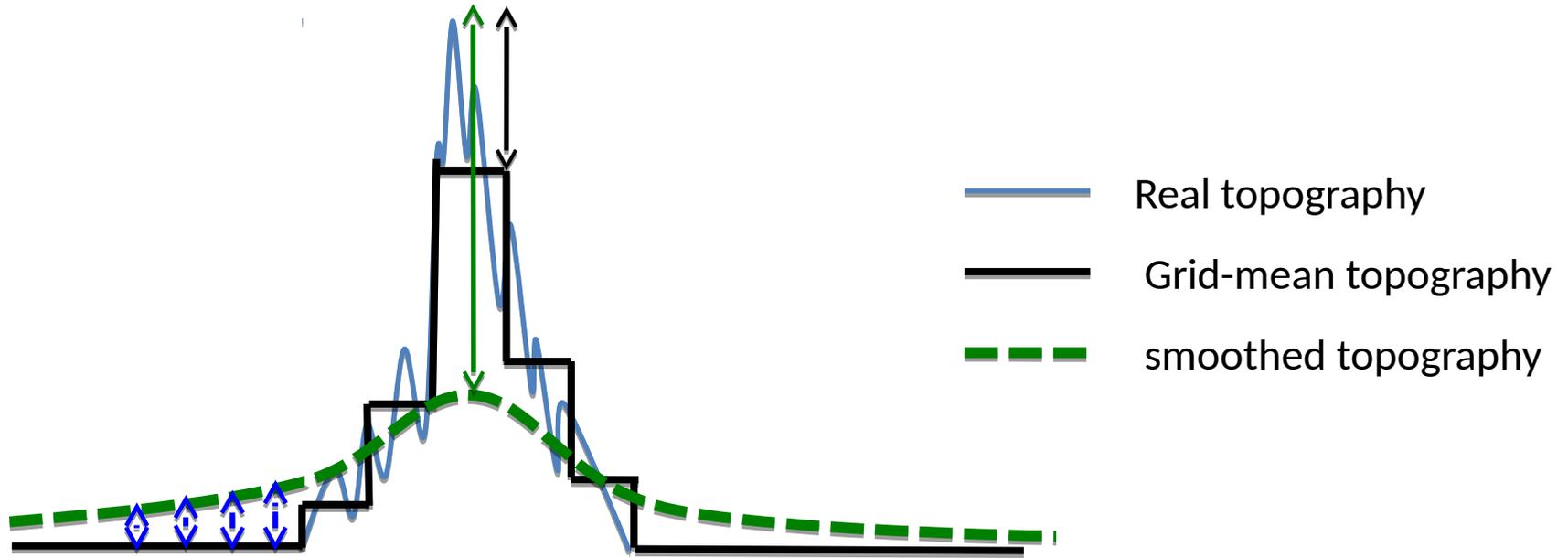
- What are orographic GWP supposed to represent?
- What is most realistic way to force orographic GWP?

# Subgrid variance may not be a good way to diagnose forcing for orographic gravity waves



Cross-sections with approximately equal variances

# Sub-grid vs. unresolved

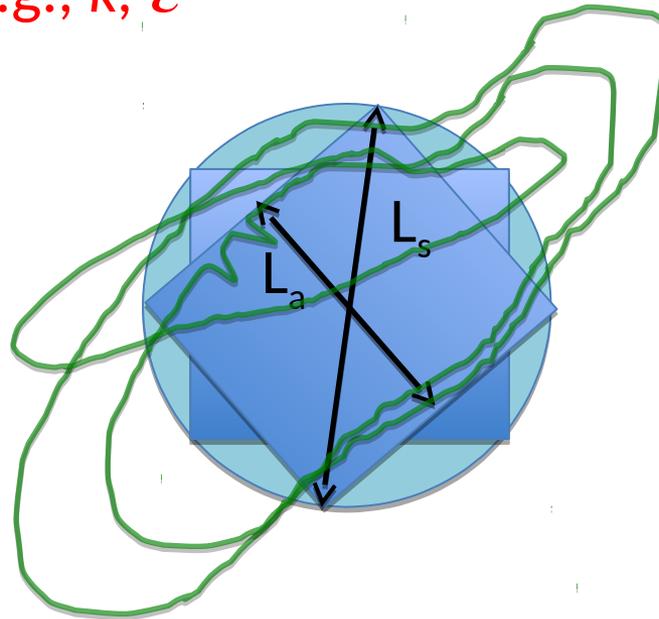


Given that most models smooth topography. What should be parameterized – true sub-grid topography or deviation from smoothed topography?

# Feature-based ridge identification

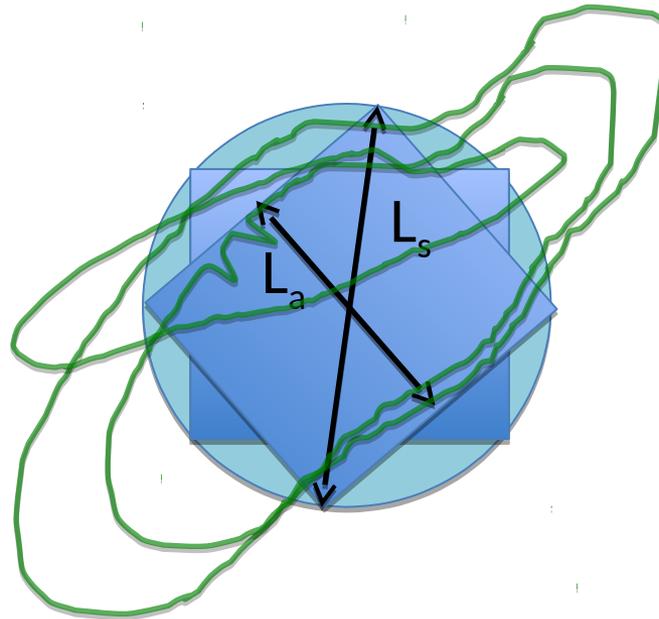
- Smooth topography (scale  $\sim L_s$ )
- Calculate variances of mean cross-sectional profiles at 16 different orientations on  $L_a \times L_a$  domains on dense grid
- Maximum 1D vs 2D variance determines “ridge” angle

Ultimate goal is to improve on globally specified parameters for orographic waves, e.g.,  $k$ ,  $\varepsilon$

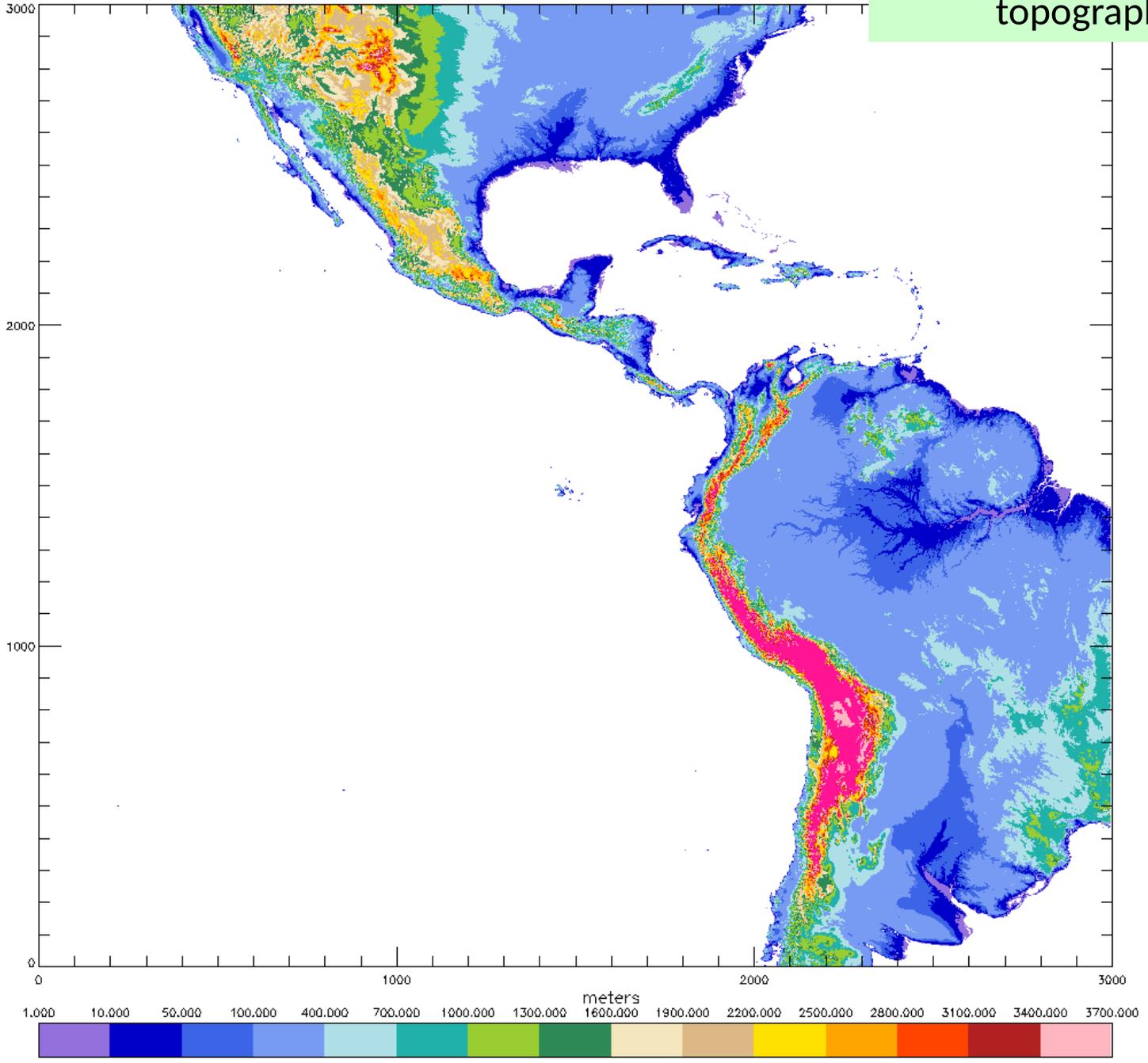


# Feature-based ridge identification

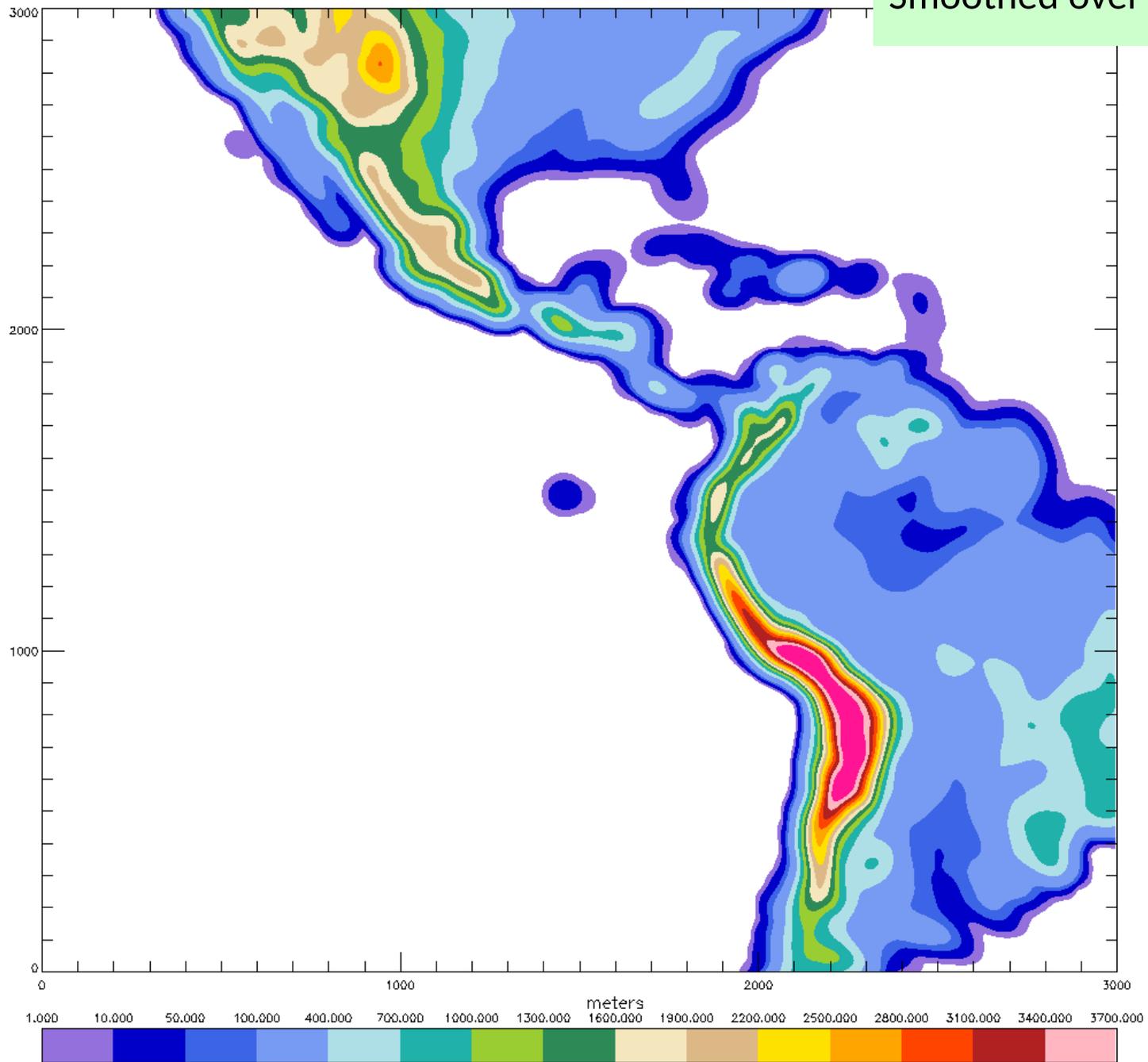
- Outputs
  - Orientation
  - Ridge height from max-min of profile (different from std. dev. of topo)
  - Estimate of ridge width and length
  - Geographically-based estimate of “effgw\_oro”
  - “quality”: ratio of 1D/2D variance



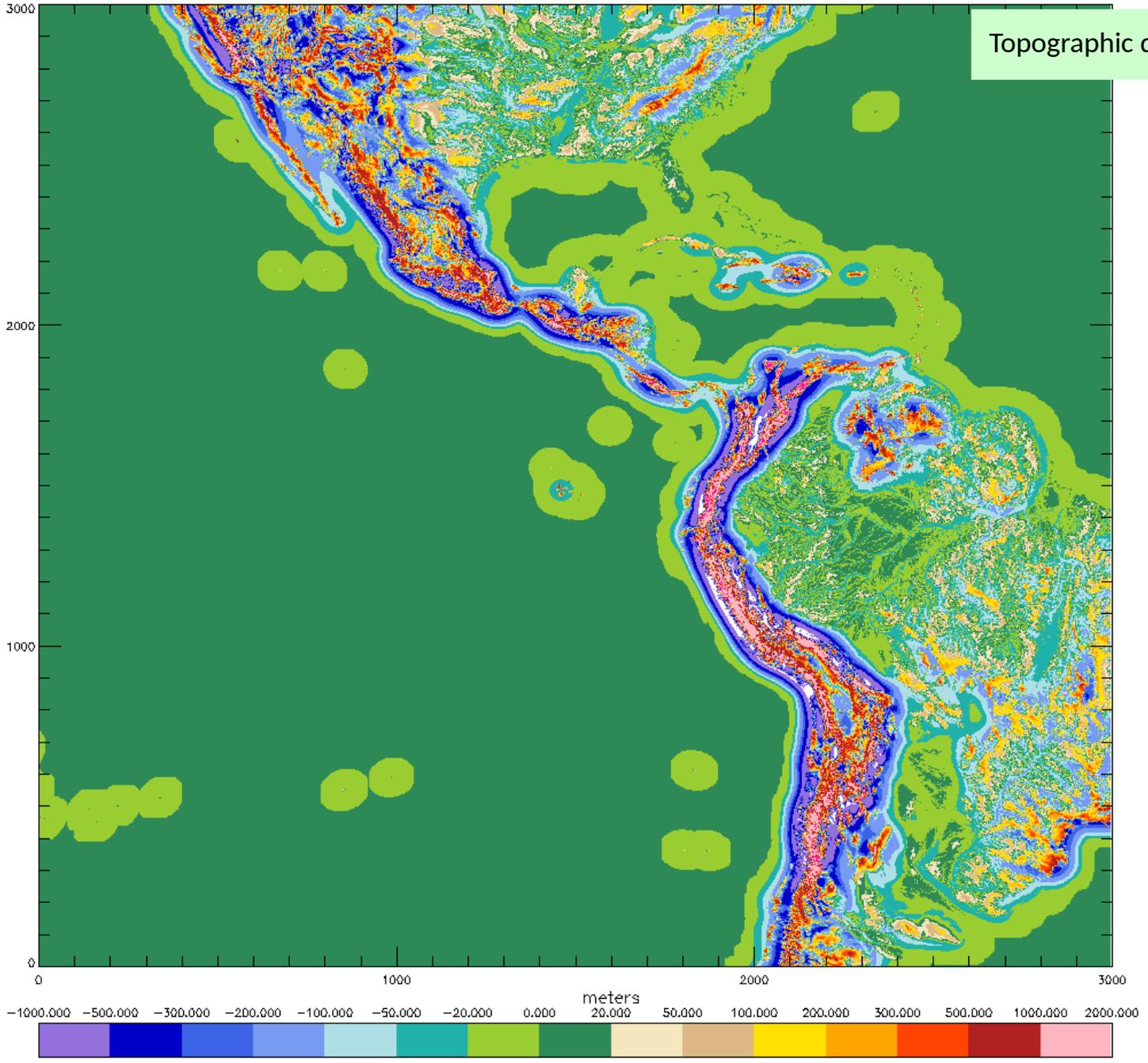
Raw 3km cubed sphere topography



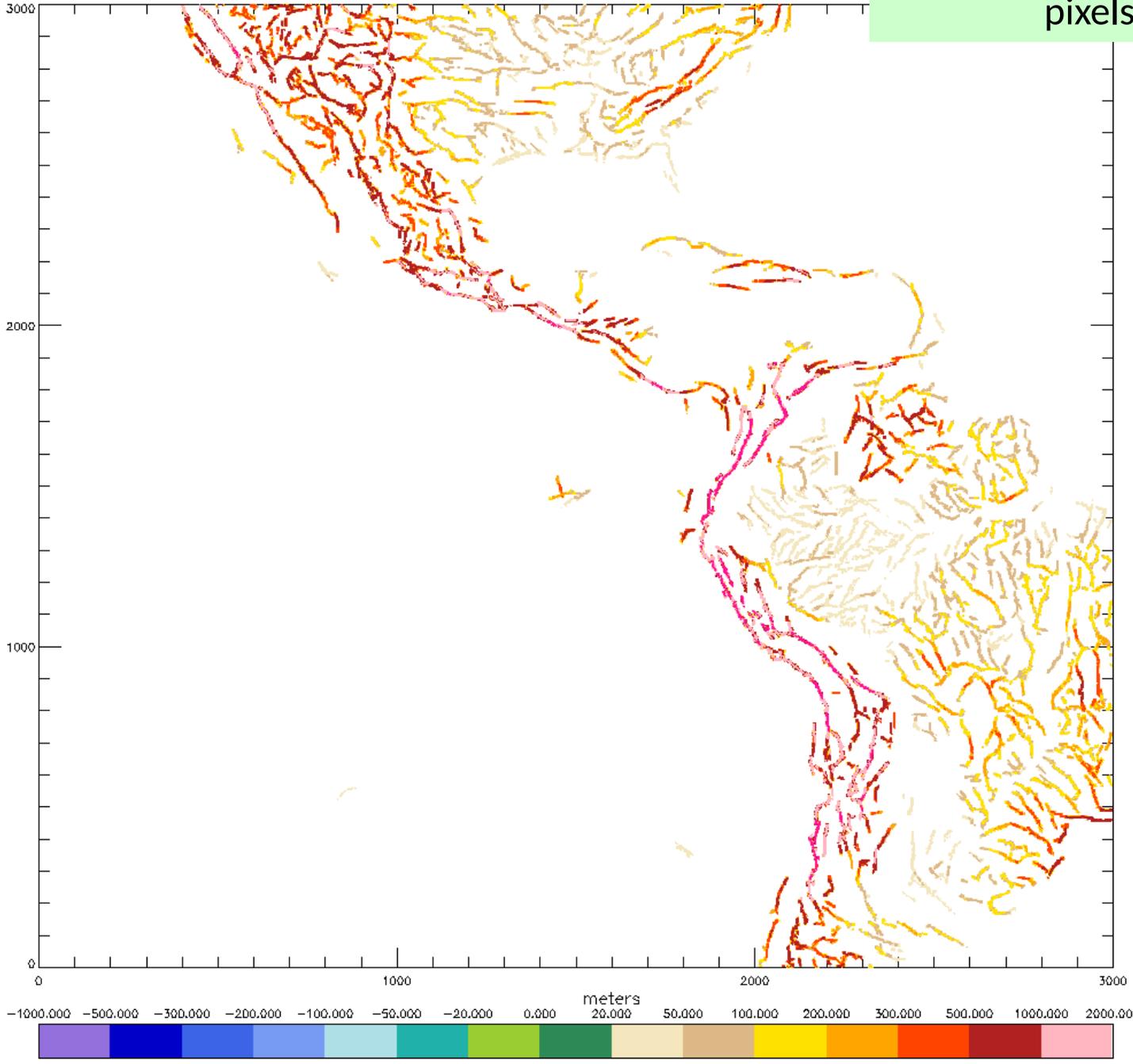
Smoothed over  $r < 180\text{km}$



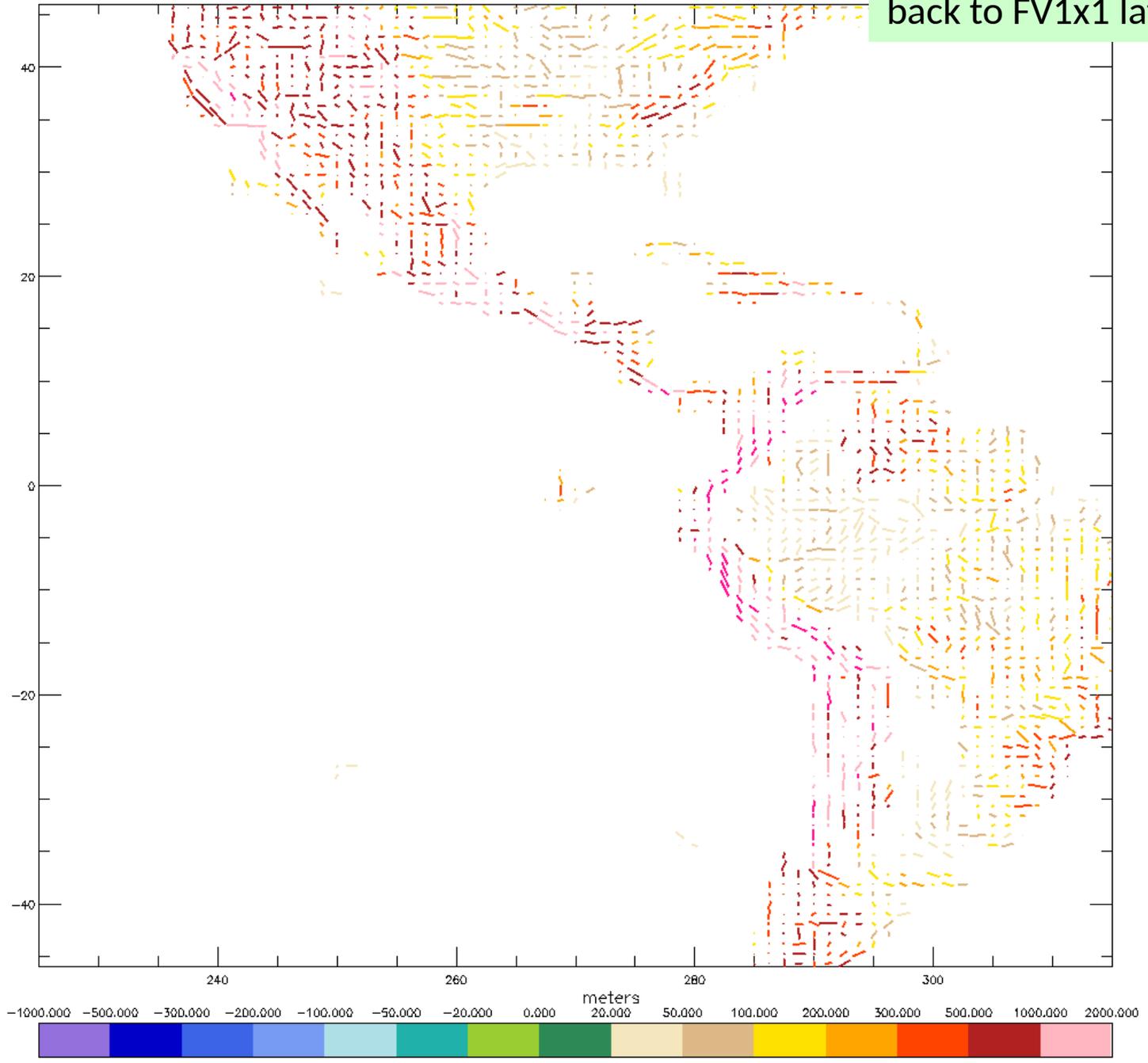
Topographic deviations

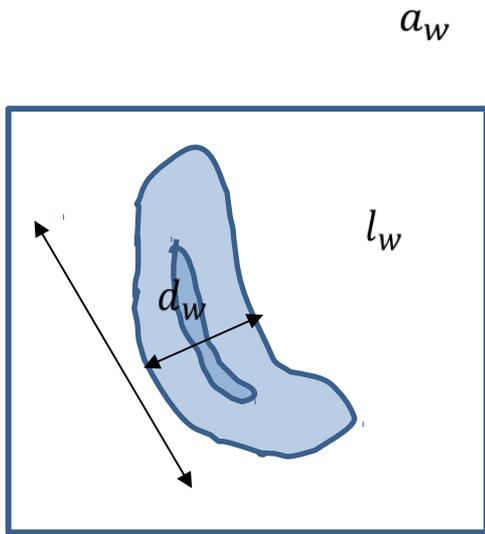


Ridge "skeleton" on 3km pixels



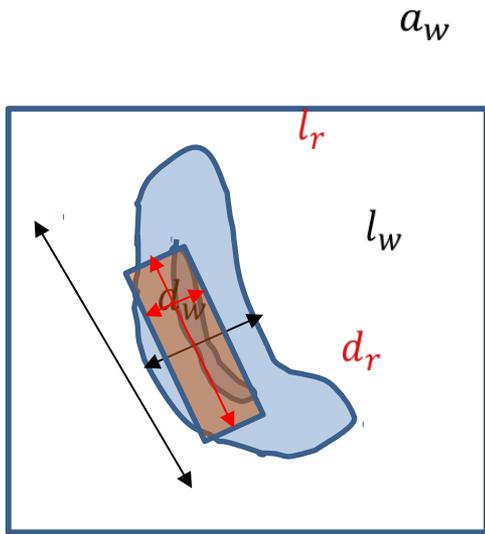
Ridge elements mapped  
back to FV1x1 latlon grid





$$\overline{\rho u' w'} = \frac{1}{A} \iint \rho u' w' dx dy \sim \frac{1}{A} a_w \rho c_1 N \delta c_2 U \frac{\delta}{l_w}$$

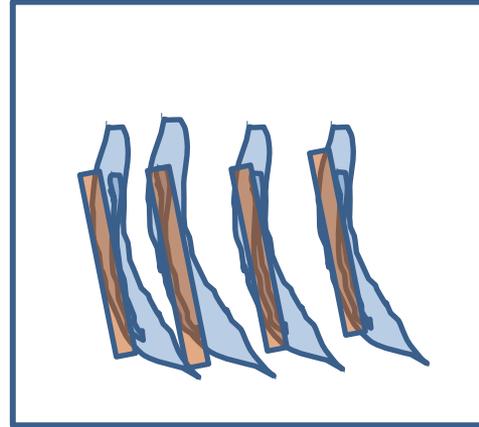
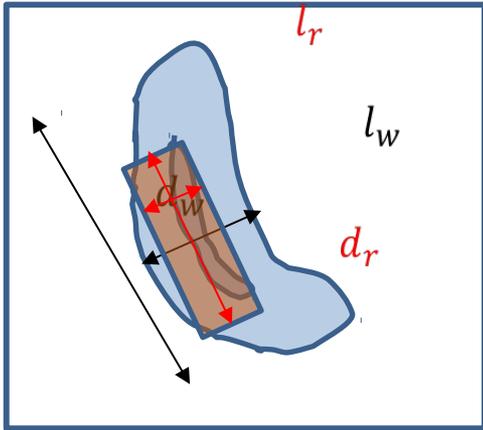
2D, WKB, steady-state, hydrostatic wave model



$$\overline{\rho u' w'} = \frac{1}{A} \iint \rho u' w' dx dy \sim \frac{1}{A} a_w \rho c_1$$

$a_w \sim c_3 d_w l_w, \quad A \sim c_4 l_r, \quad d_w \sim c_5 d_r$

$$\delta \approx \text{MIN}(h_r, Fr_c \frac{U}{N})$$

$a_w$ 

$$\overline{\rho u'w'} \sim \frac{1}{A} a_w \rho c_1 N \delta c_2 U \frac{\delta}{l_w} \sim \frac{1}{A} c_1 c_2 c_3 c_5 d_r \rho N U \delta^2$$

$$a_w \sim c_3 d_w l_w, \quad l_w \sim c_4 l_r, \quad d_w \sim c_5 d_r$$

Our first try assumes:  $\delta \approx \text{MIN}(h_r, Fr \frac{U}{N}) \frac{d_r}{A} \rho N U \delta^2$

Original scheme:

$$\sim \varepsilon k_{oro} \rho N U \delta^2$$

$$\varepsilon = 0.125$$

$$k = 2\pi/100\text{km}$$

# AMIP runs 1/1979-2/2004

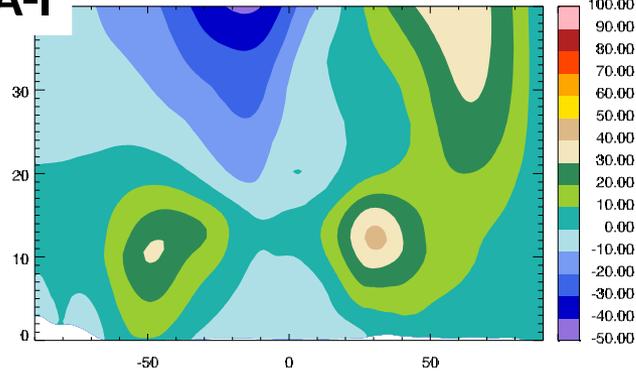
→ *2 configurations of WACCM*

**IOGW:** Original McFarlane (1987) isotropic gravity wave scheme

**AOGW:** New anisotropic scheme

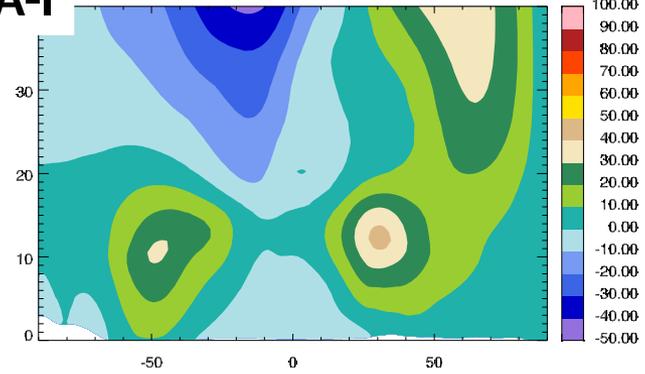
# ERA-I

NAYears1979-2004



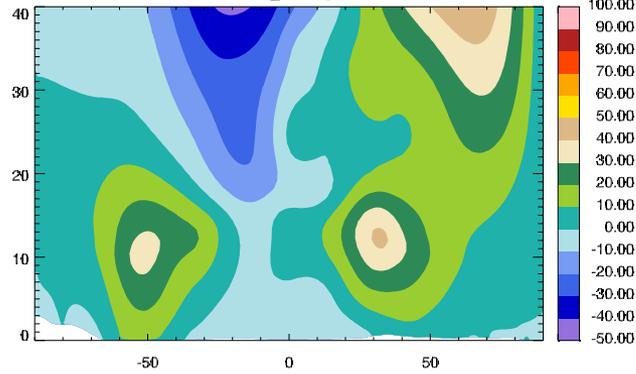
# ERA-I

NAYears1979-2004



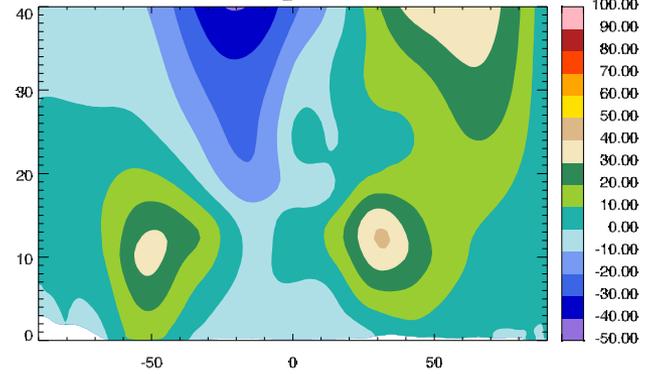
# IOGW

120.FWschIST.f09\_f09.ogw01Years1979-2004



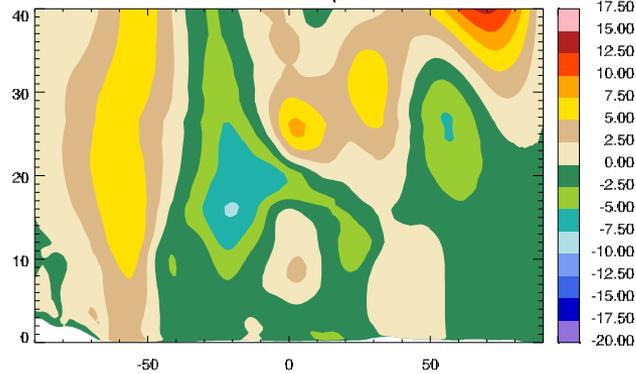
# AOGW

54120.FWschIST.f09\_f09.ctl01Years1979-2004



Taylor Diags XTR : CORR= 0.97; DEV=1.00; RMSE=2.81; BIAS=0.94  
 Taylor Diags SH : CORR= 0.97; DEV=1.01; RMSE=3.19; BIAS=1.80  
 Taylor Diags NH : CORR= 0.98; DEV=1.08; RMSE=2.98; BIAS=0.07

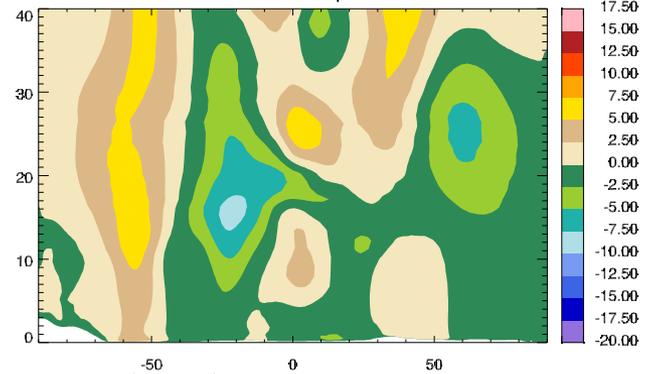
Middle - Top



DJF U

Taylor Diags XTR : CORR= 0.98; DEV=0.96; RMSE=2.52; BIAS=0.35  
 Taylor Diags SH : CORR= 0.98; DEV=1.01; RMSE=2.77; BIAS=1.43  
 Taylor Diags NH : CORR= 0.98; DEV=0.99; RMSE=2.25; BIAS=-0.72

Middle - Top

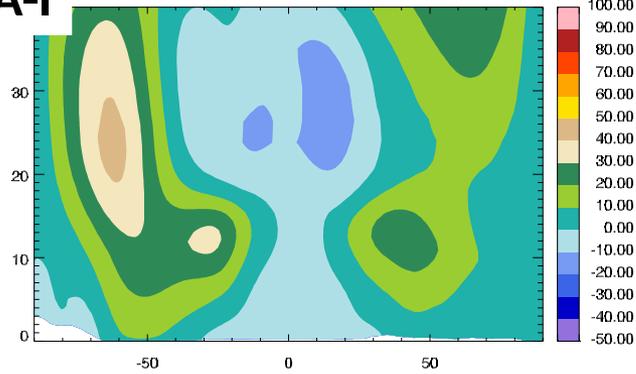


DJF U

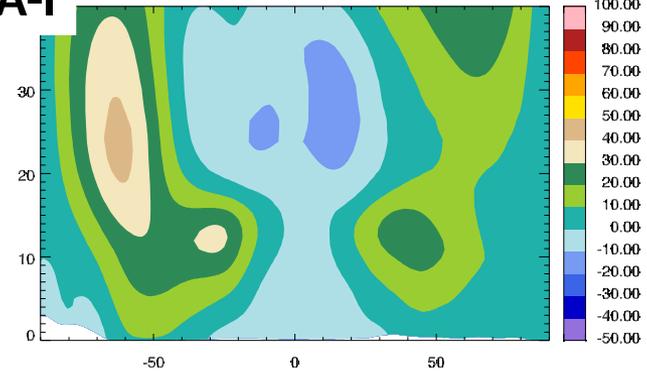
## Zonal-mean zonal wind (DJF)

**ERA-I**

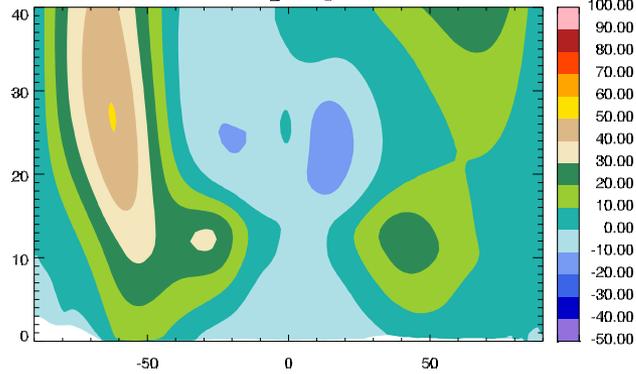
NAYears1979-2003

**ERA-I**

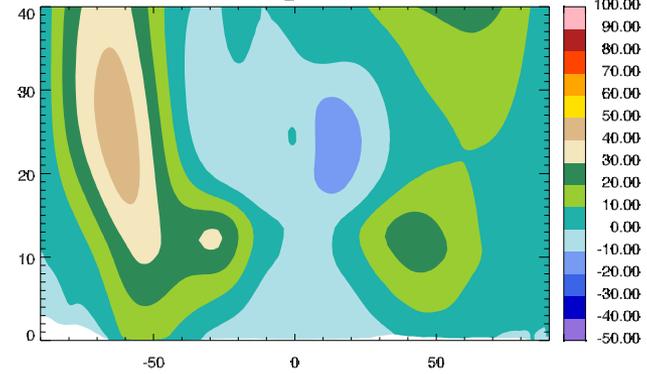
NAYears1979-2003

**IOGW**

I20.FWscHIST.f09\_f09.ogw01Years1979-2003

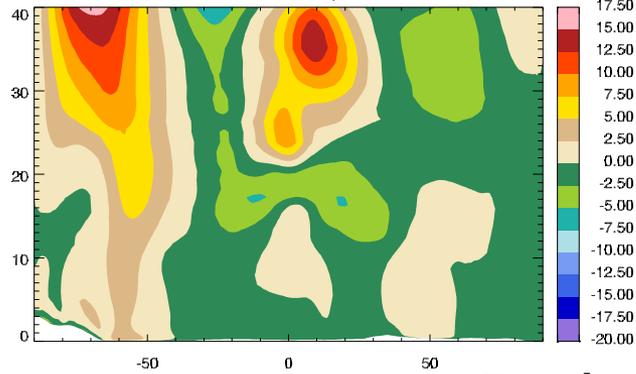
**AOGW**

54I20.FWscHIST.f09\_f09.ctI01Years1979-2003



Taylor Diags XTR : CORR= 0.98; DEV=1.16; RMSE=2.87; BIAS=0.71  
 Taylor Diags SH : CORR= 0.99; DEV=1.17; RMSE=3.80; BIAS=2.20  
 Taylor Diags NH : CORR= 0.99; DEV=0.97; RMSE=1.42; BIAS=-0.77

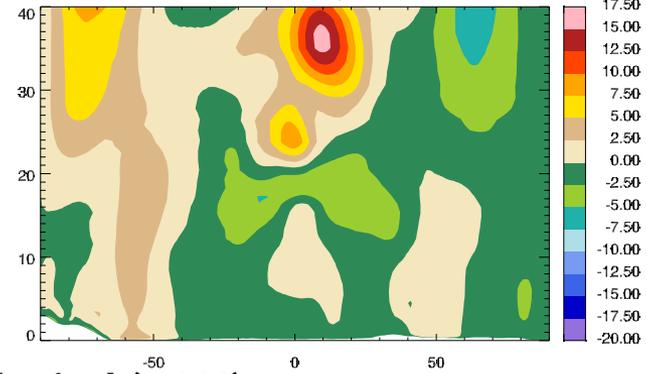
Middle - Top



SON U

Taylor Diags XTR : CORR= 0.99; DEV=1.09; RMSE=2.09; BIAS=0.14  
 Taylor Diags SH : CORR= 0.99; DEV=1.10; RMSE=2.33; BIAS=1.35  
 Taylor Diags NH : CORR= 0.98; DEV=0.95; RMSE=1.82; BIAS=-1.08

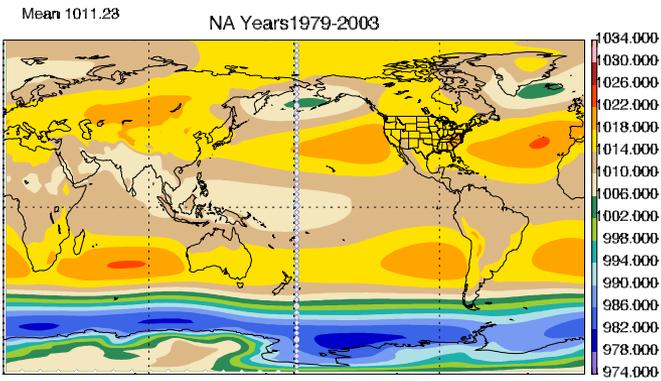
Middle - Top



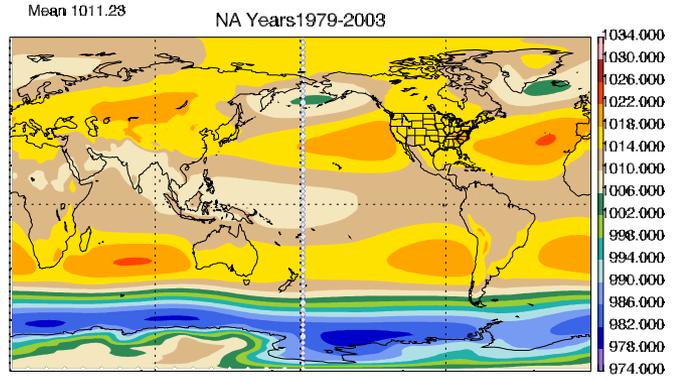
SON U

**Zonal-mean zonal wind (SON)**

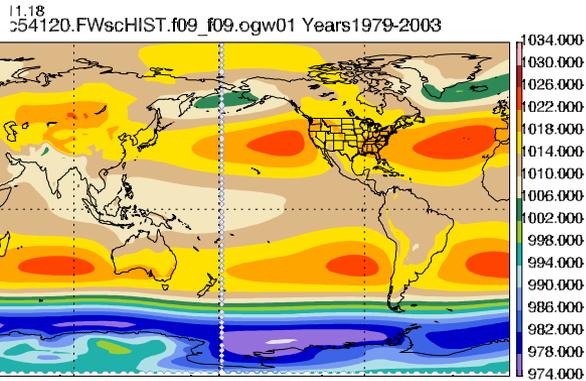
ERA-I



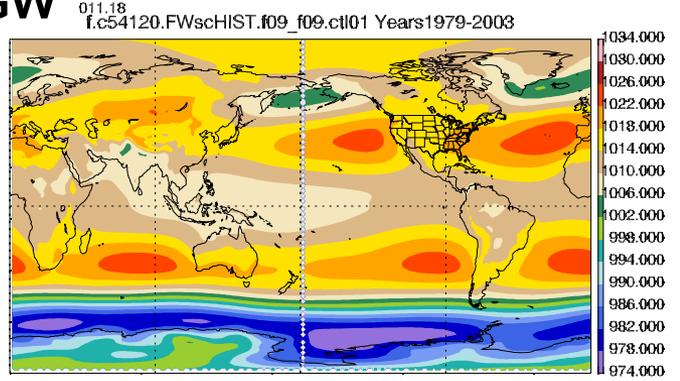
ERA-I



IOGW

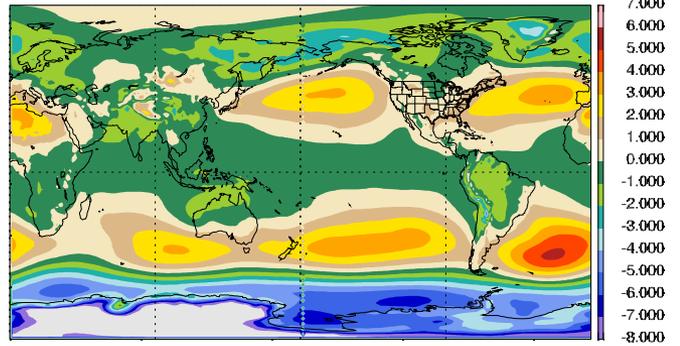
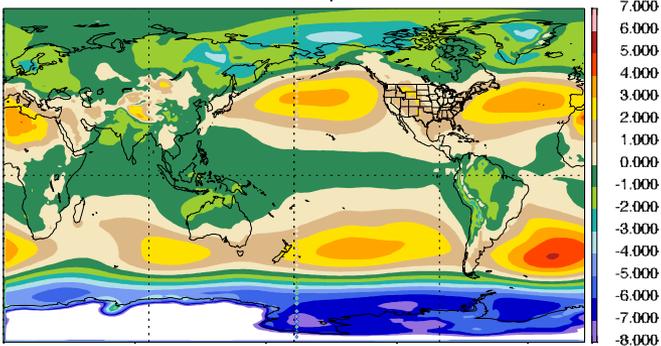


AOGW



OCEAN: CORR= 0.99; DEV=1.18; RMSE=1.98; BIAS=0.29  
 LAND: CORR= 0.96; DEV=1.33; RMSE=3.11; BIAS=-0.87  
 GLOBAL: CORR= 0.98; DEV=1.20; RMSE=2.31; BIAS=-0.06  
 middle-top

OCEAN: CORR= 0.99; DEV=1.16; RMSE=1.86; BIAS=0.27  
 LAND: CORR= 0.97; DEV=1.25; RMSE=2.54; BIAS=-0.84  
 GLOBAL: CORR= 0.99; DEV=1.17; RMSE=2.04; BIAS=-0.06  
 middle-top



ANN PSL

Sea-Level pressure (ANN)

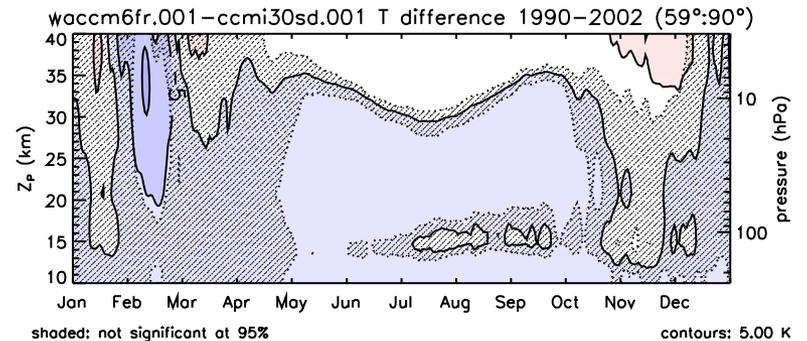
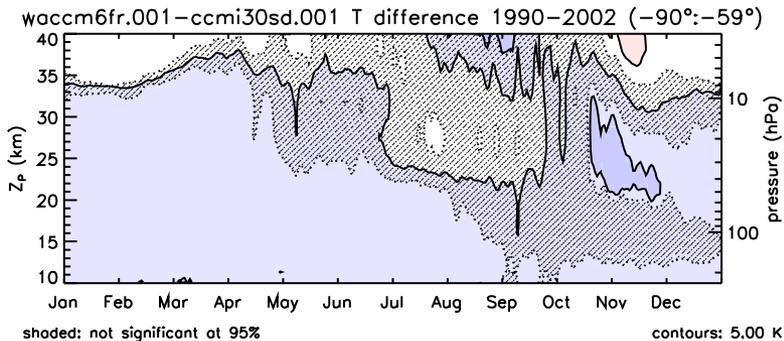
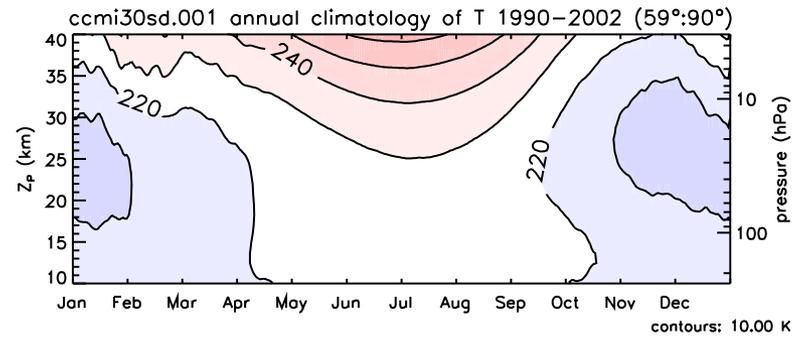
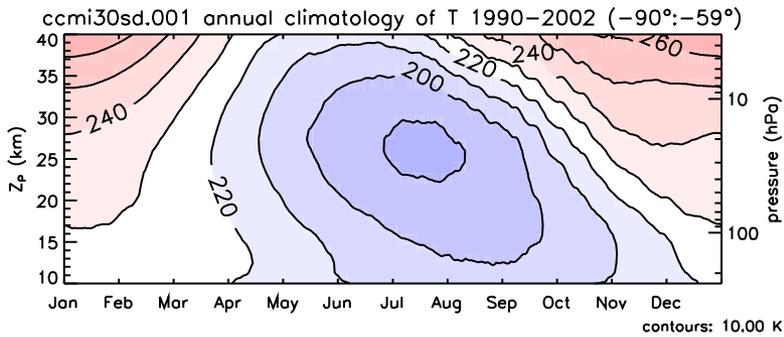
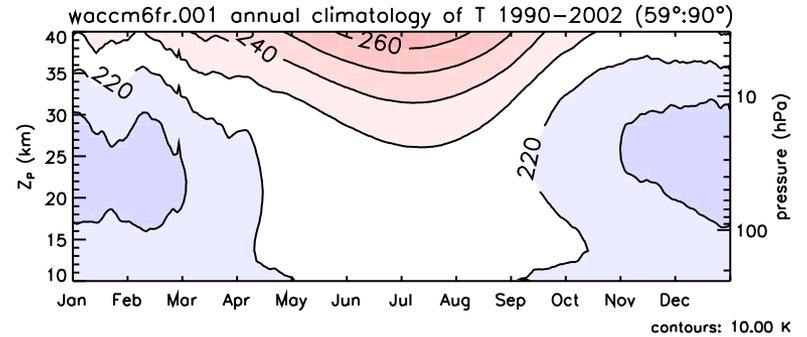
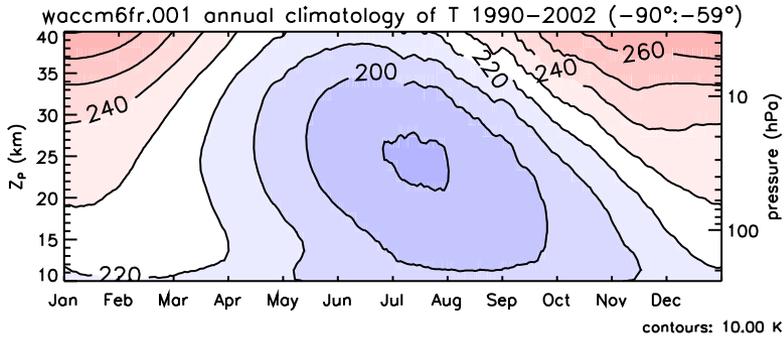
ANN PSL

# polar cap T: AOGW vs. MERRA

(from Rolando Garcia)

SH

NH

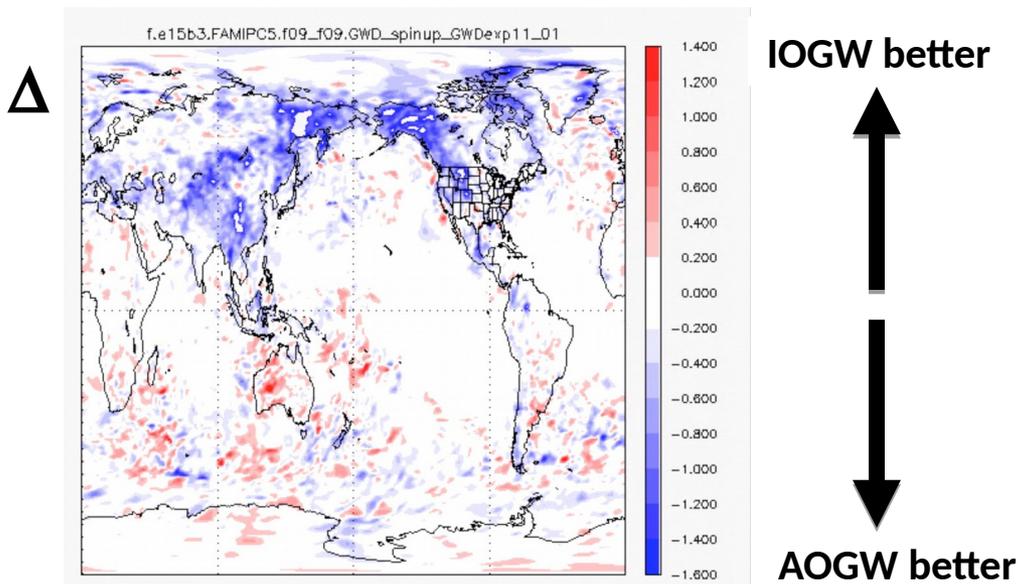
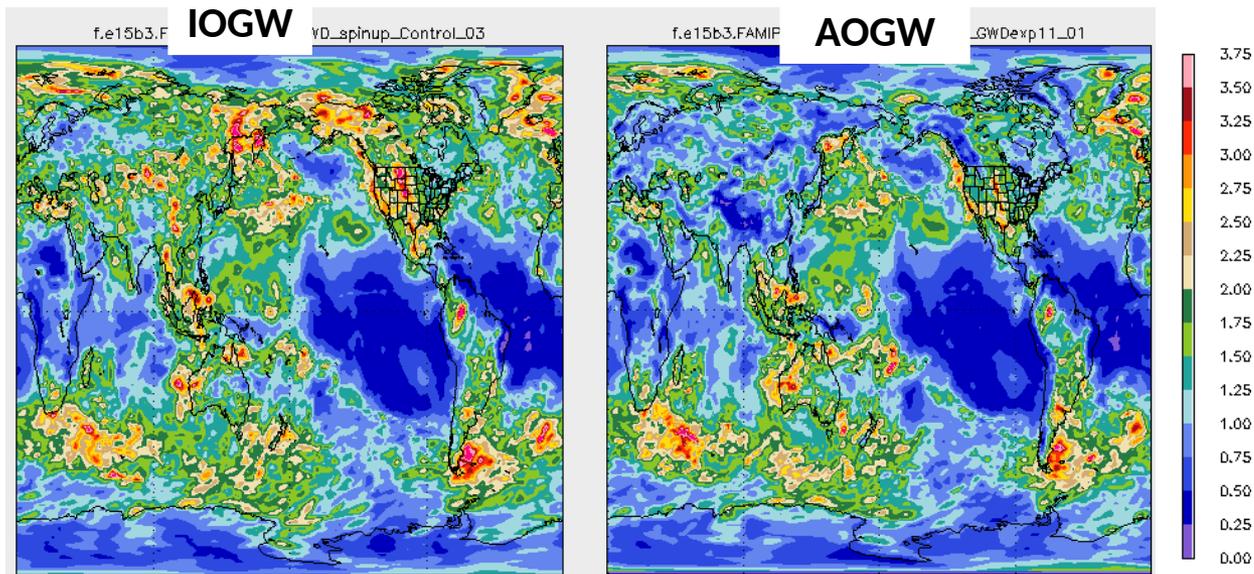


# Data Assimilation tests

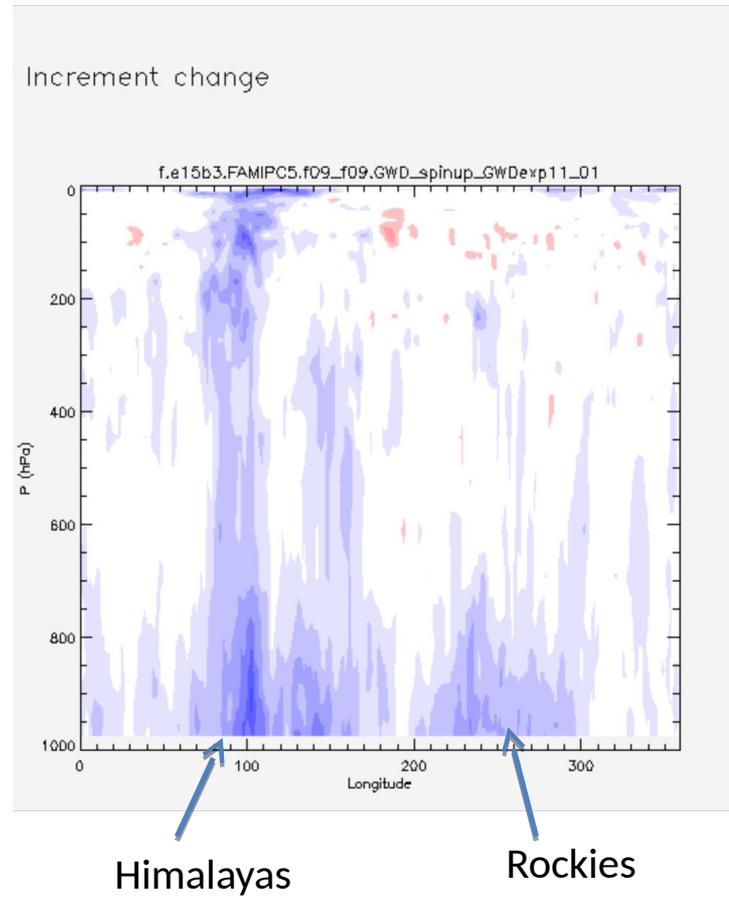
- NCAR's data assimilation research testbed (DART; Anderson et al . )
- Ensemble Kalman filter
- Radiosondes, cloud track winds, GPS
  - No radiance assimilation
- Look at innovations to assess model performance w/ and w/out new schemes
- Period: Jan 15-Feb 15 2010

# DAS increments over 15 Jan-15 Feb 2010

## *U(100m) (second level)*

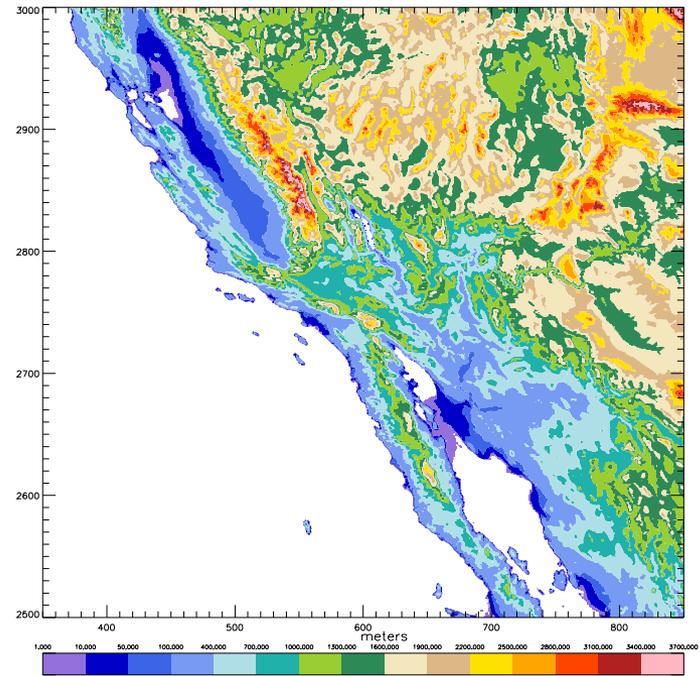
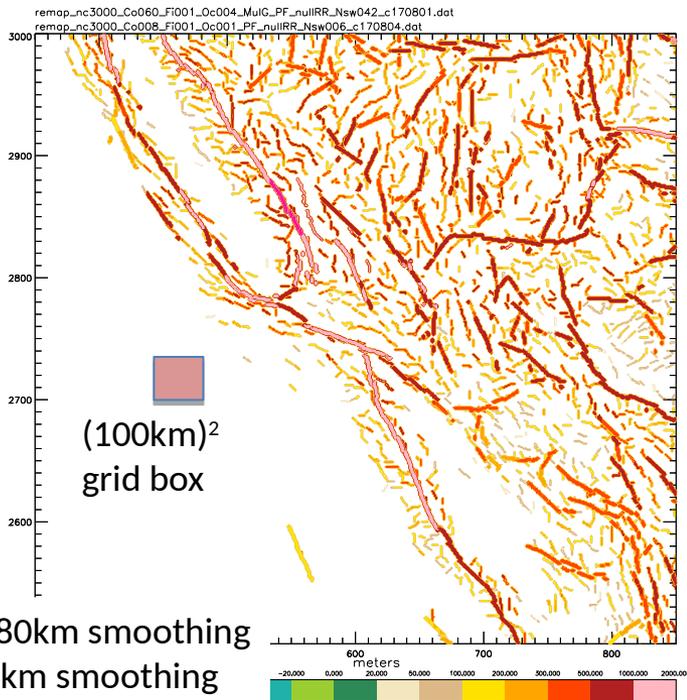


# NH average $\Delta$ DAS increments in U over 15 Jan-15 Feb

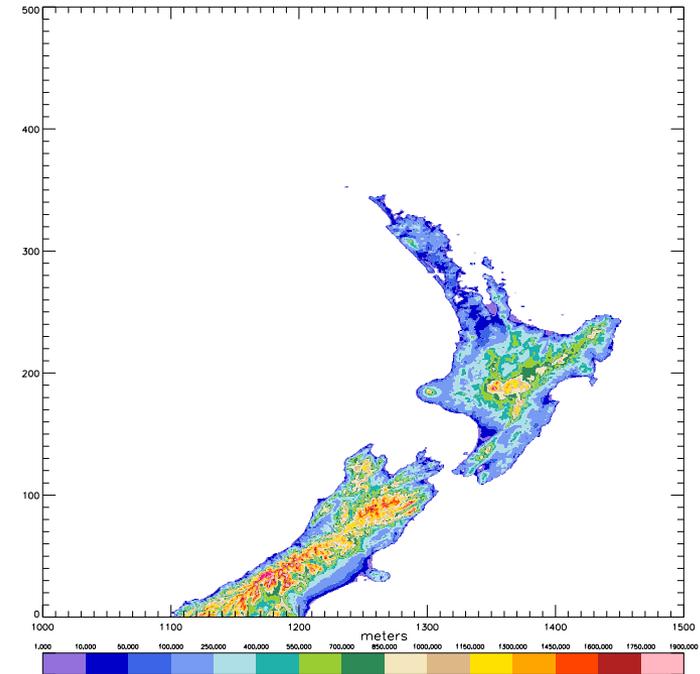
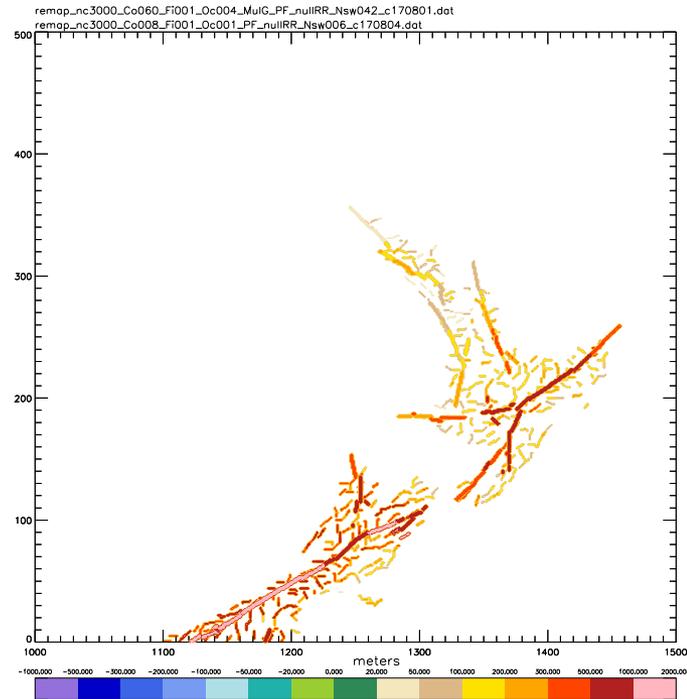


# Future directions

- Multiple bands of orography



Thick lines:  $r=180\text{km}$  smoothing  
 Thin lines:  $r=24\text{km}$  smoothing

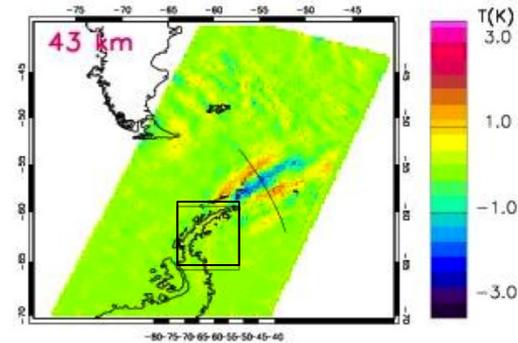


# Future directions

Trapping effects not actually included in current parameterizations.

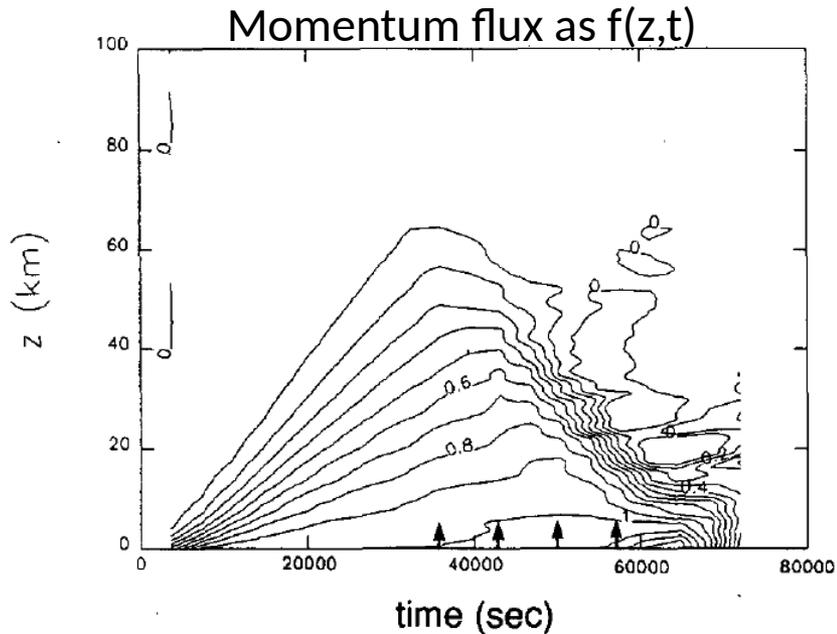


Horizontal propagation of waves across grid boxes (time-dependence also? Ray-based? Super-param.?)

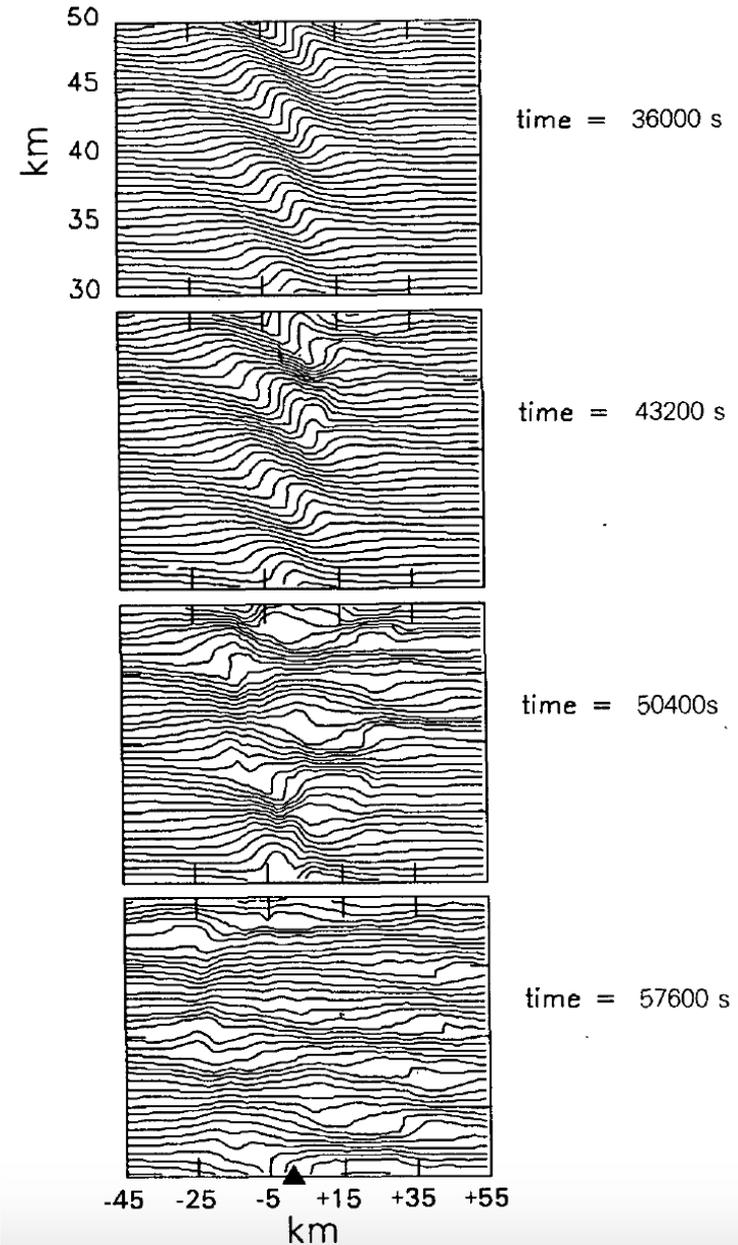


# Future directions

Saturation hypothesis – reality?



Bacmeister&Schoeberl 1989



# Future directions

Wave cloud radiative effects and chemical effects



Nacreous ice-clouds in stratosphere



# Final Question

At which resolution can we live without parameterizations of orographic drag?

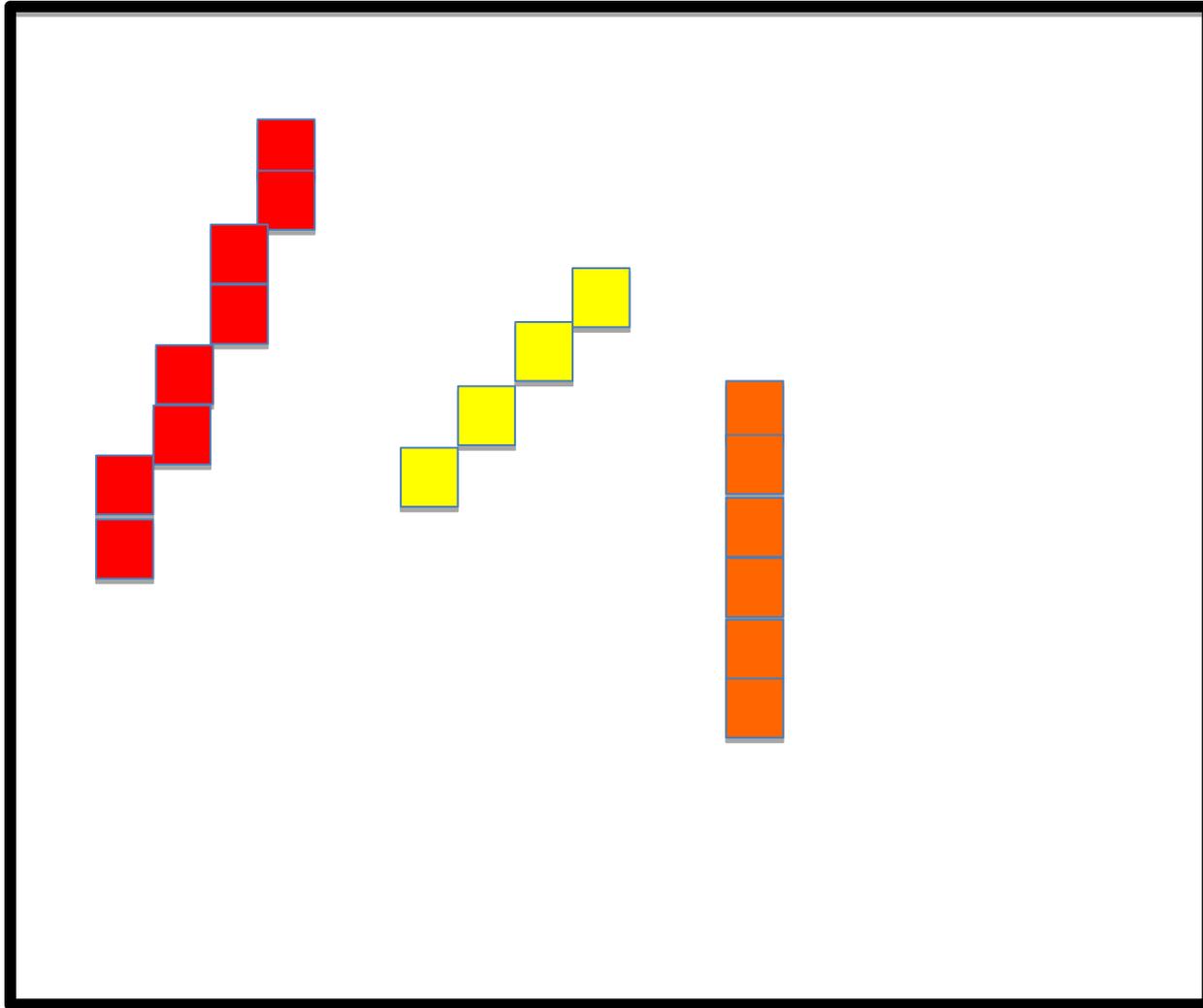
- *Based on CAM, GEOS5 **not** 25km. ... 5km?*

How do we answer the question: Climate simulations?

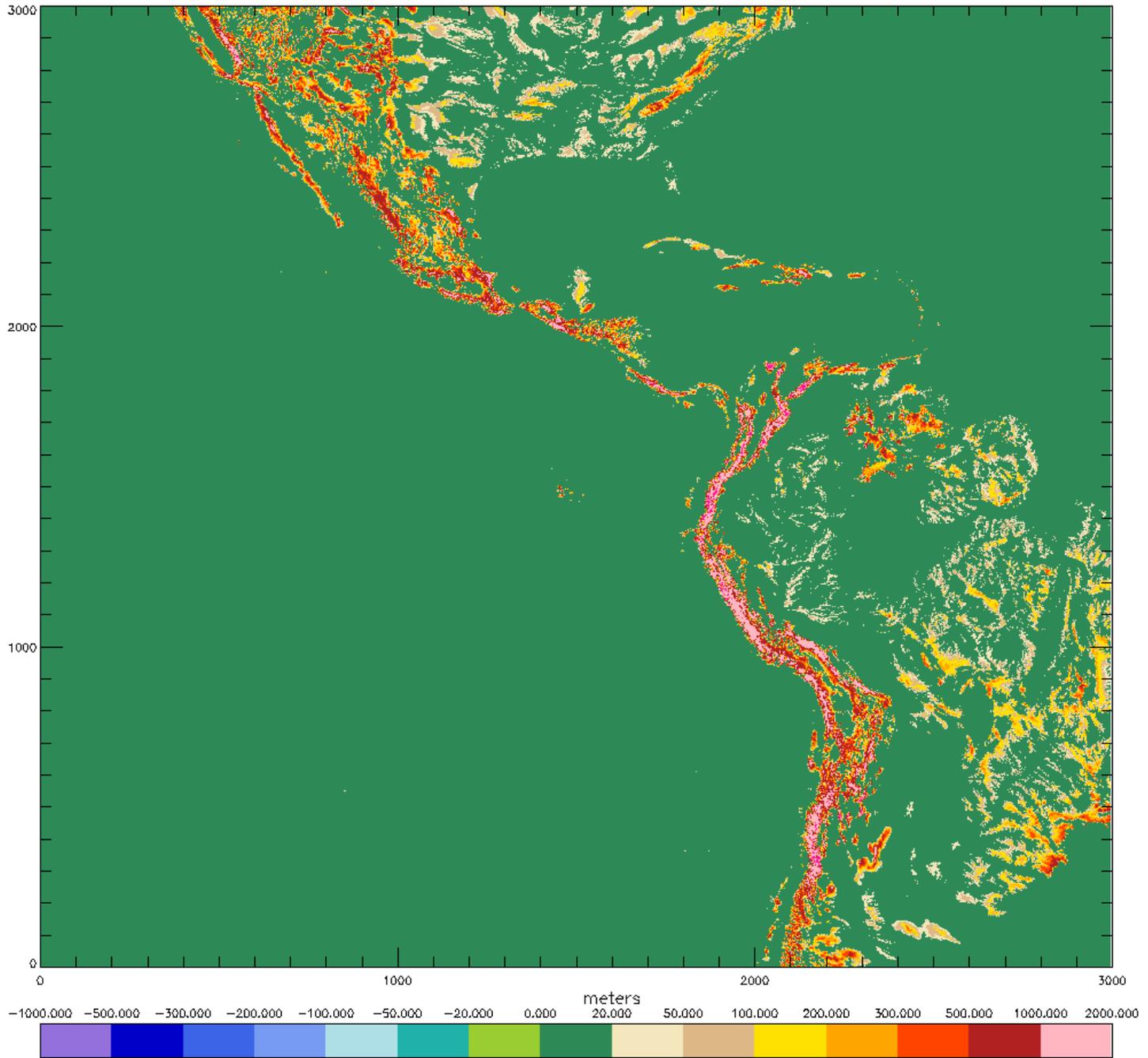
Forecasts?

**Thank You**

3km pixels are along ridge lines. Each contains estimates of height, width, orientation



180km



# PBL Form drag - “Turbulent Mountain Stress (TMS)”

Enhanced roughness length  $z_0$  over rough/hilly terrain, e.g., “turbulent mountain stress” (TMS) scheme currently in CESM (Richter et al. 2010)

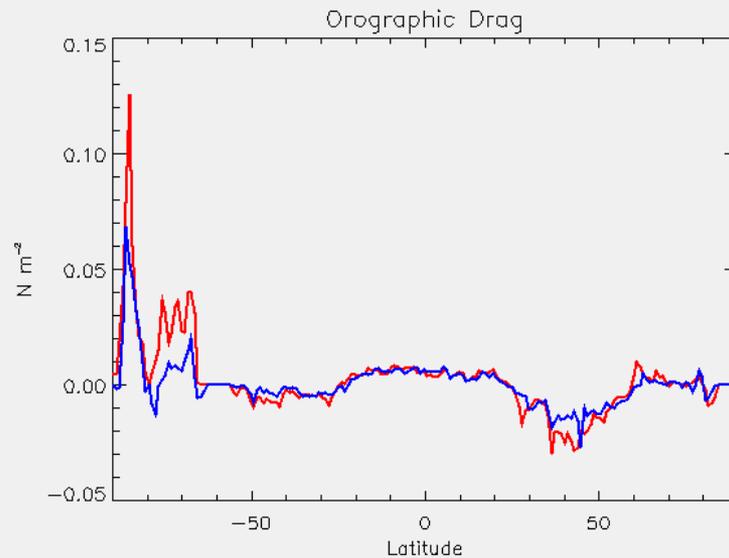
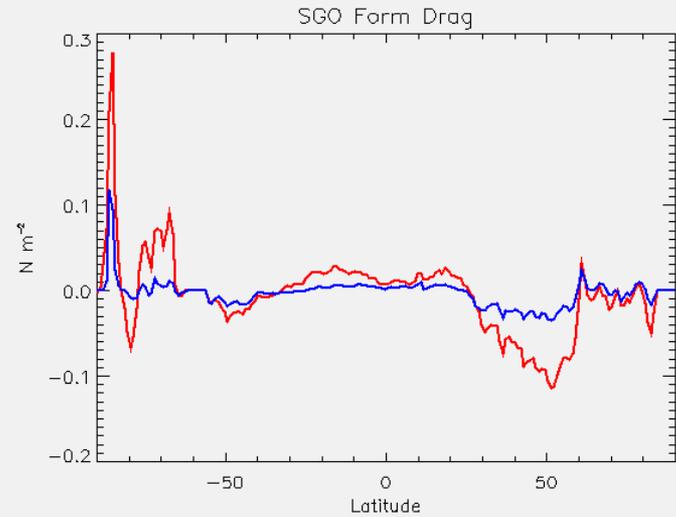
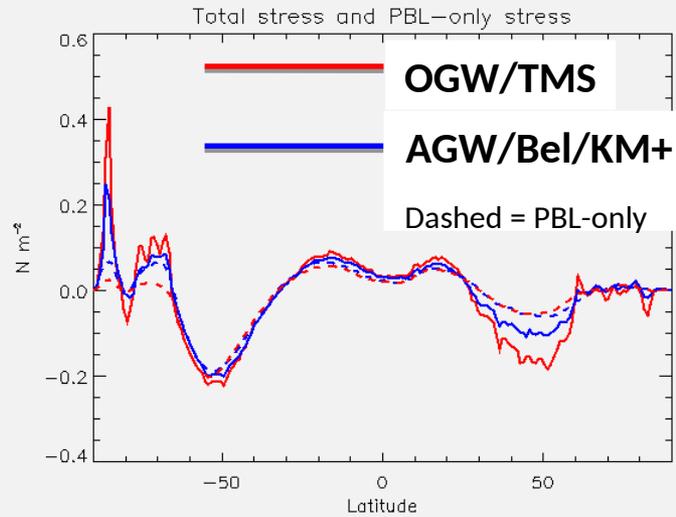
$$\mathbf{F}_x = C_D |\mathbf{U}| U(z)$$

$$C_D = \kappa \left( \ln \left( \frac{z}{z_0} \right) \right)^{-2}$$

$z_0$  is roughness length

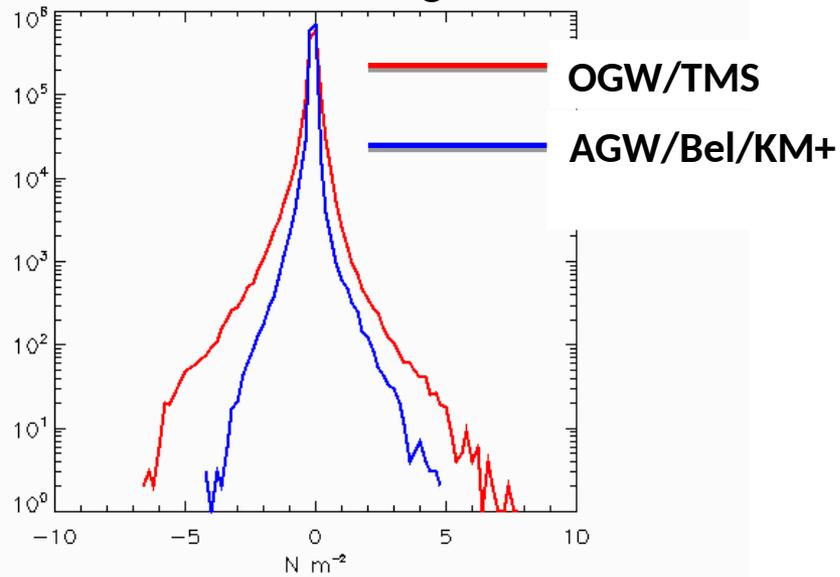
$z_0$  is assumed proportional to  $\sqrt{\langle h_\delta^2 \rangle}$  where  $h'_\delta$  is topographic variability for scales  $\lambda < 3\text{km}-5\text{km}$

# Annually averaged surface drag (total and components)

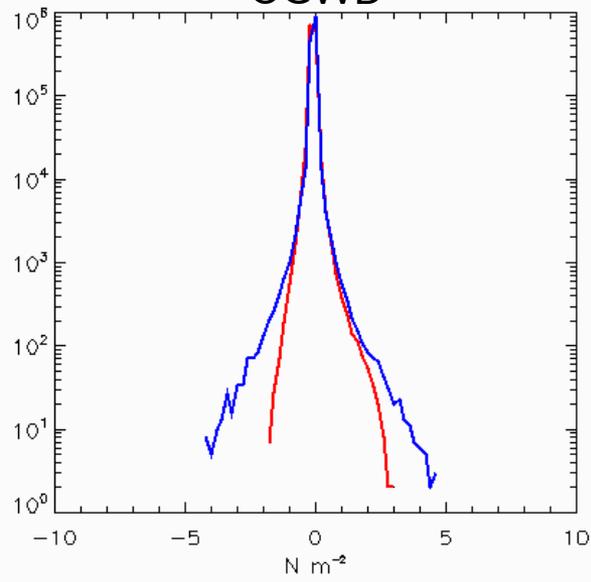


# PDFs of monthly mean drag over land

## SGO form drag



## OGWD



# PBL Form drag – “Turbulent Mountain Stress (TMS)”

Enhanced roughness length  $z_0$  over rough/hilly terrain, e.g., “turbulent mountain stress” (TMS) scheme currently in CESM (Richter et al. 2010)

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$z_0$  is roughness length

$z_0$  is assumed proportional to  $\sqrt{\langle h_\delta^2 \rangle}$  where  $h'_\delta$  is topographic variability for scales  $\lambda < 3\text{km}-5\text{km}$



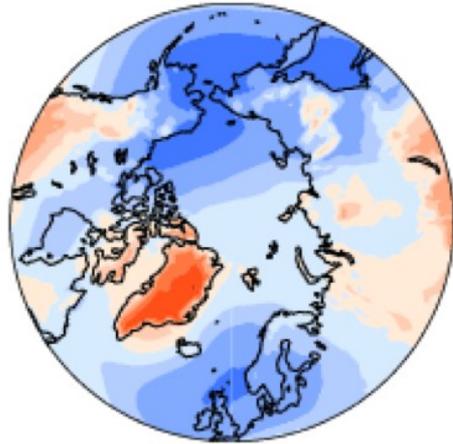
f.e15b03wch.f

# OGW/TMS

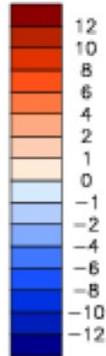
IGW-T1-x01 - MERRA

Sea-level pressure

millibars



MIN = -5.90 MAX =



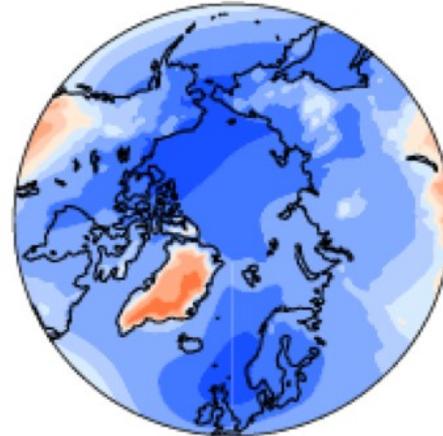
f.e15b03wch.F55W5SC.f0

# AGW/noTMS

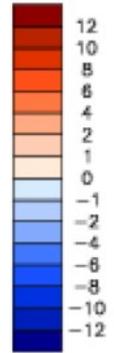
- MERRA

Sea-level pressure

millibars



MIN = -7.85 MAX = 5.30



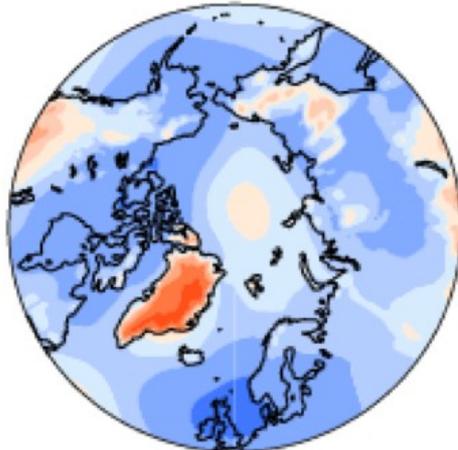
f.e15b03w

# AGW/noTMS/KM+

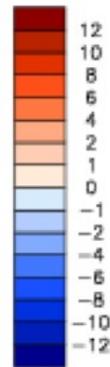
IGW-T1-x01 - MERRA

Sea-level pressure

millibars



MIN = -4.71 MAX =



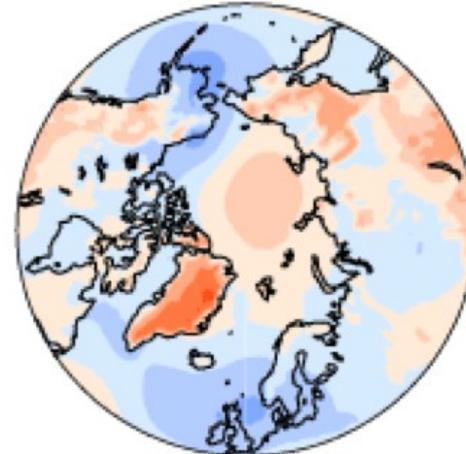
f.e1

# AGW/BeI/KM+

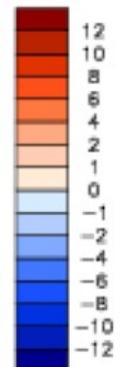
IGW-T1-x01 - MERRA

Sea-level pressure

millibars

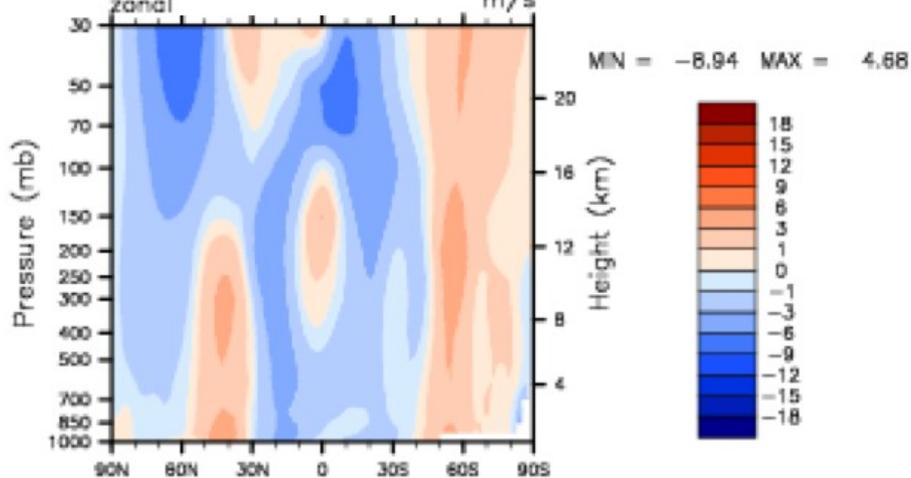


MIN = -2.47 MAX = 6.99



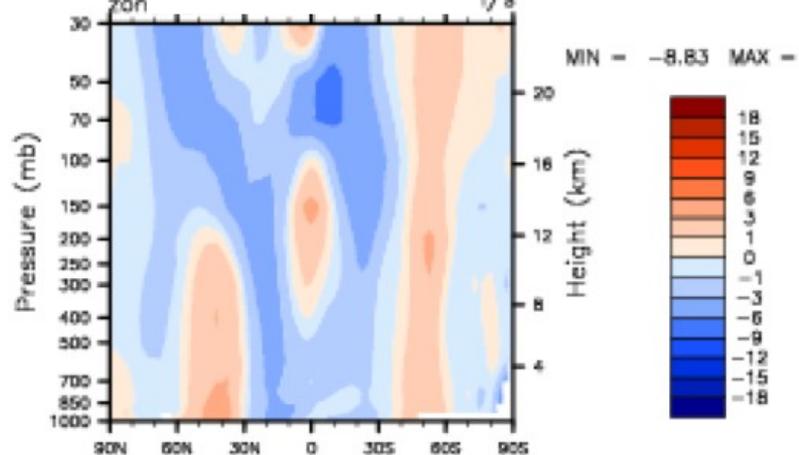
f.e15b03wch.F55W5 V-T1-x01 - ERA40

### OGW/TMS



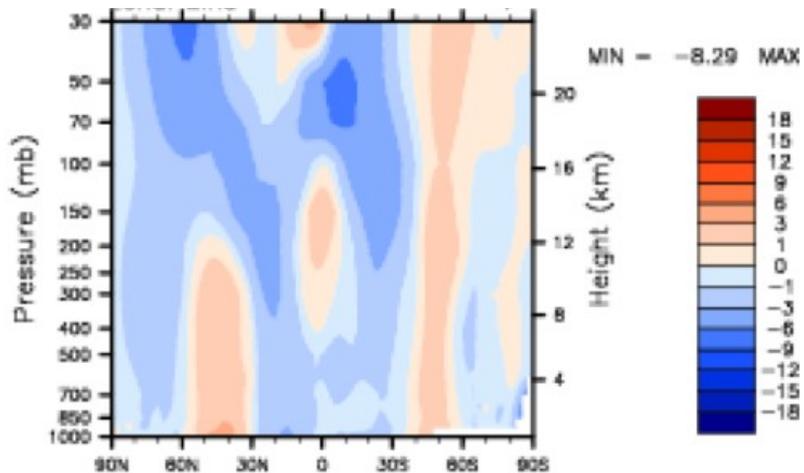
b03wch.F55W5 l-TD-e01 - ERA40

### AGW/noTMS



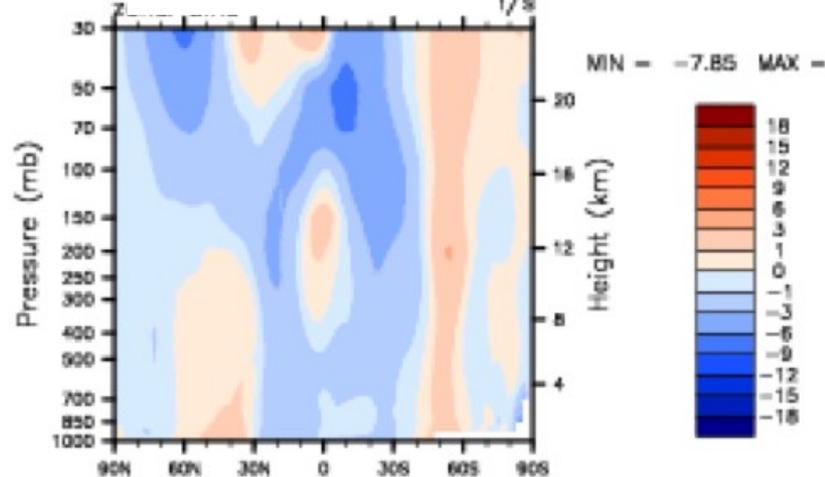
e.15b03wch.F5 l-e01 - ERA40

### AGW/noTMS/KM+



f.e15b03wch.F55W5 B-e03-eflx - ERA40

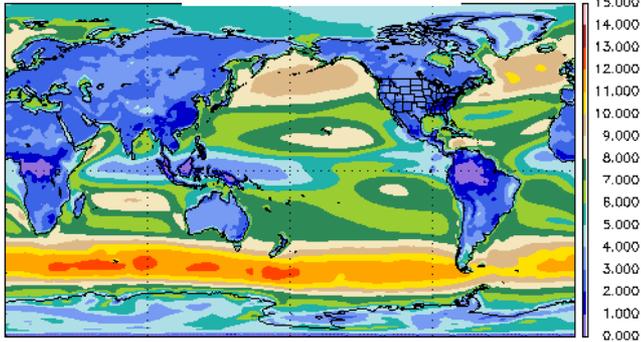
### AGW/Bel/KM+



# OGW/TMS

f.e15b03wch.l

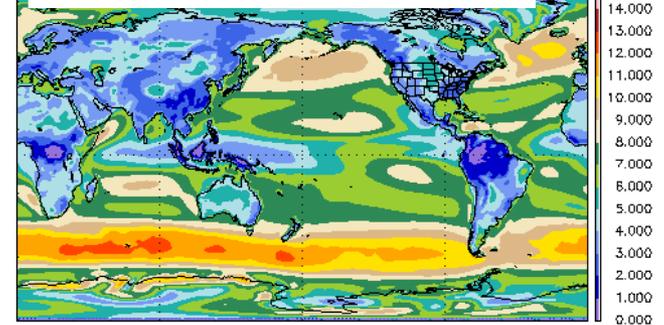
01-rd



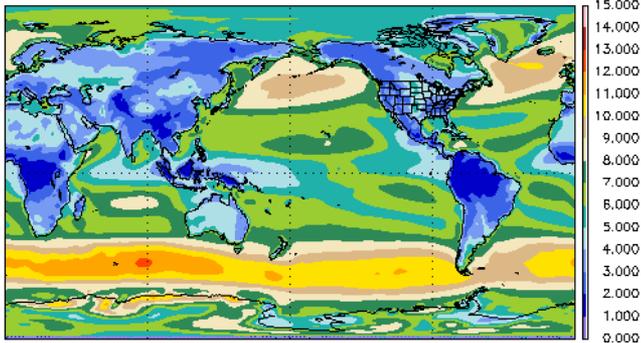
# 10m windspeed Annual mean

# AGW/Bel/KM+

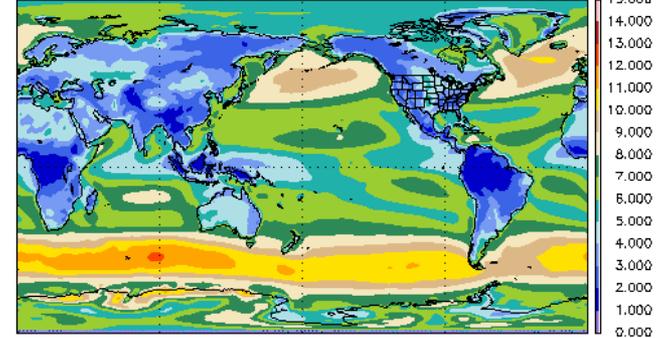
3



ERA-I

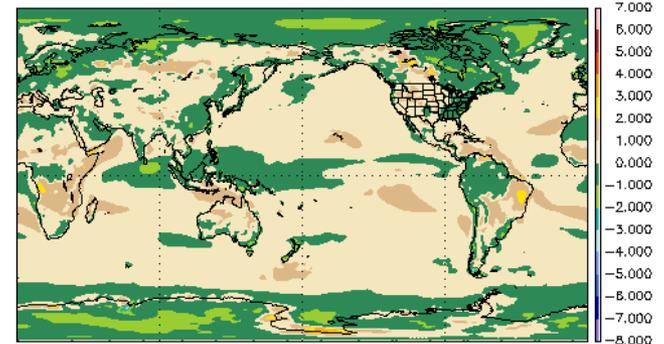
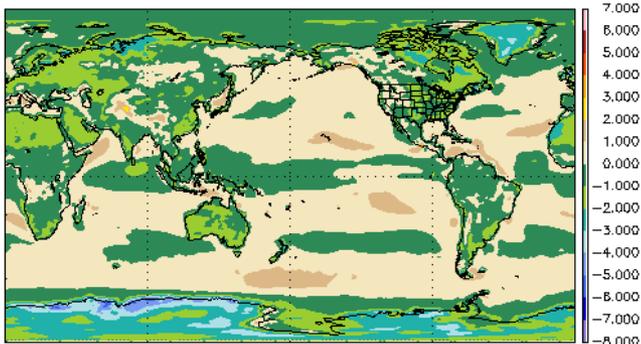


ERA-I



OCEAN: CORR= 0.96; DEV=1.11; RMSE=0.60; BIAS=0.21  
 LAND: CORR= 0.83; DEV=0.81; RMSE=1.31; BIAS=-0.87  
 GLOBAL: CORR= 0.95; DEV=1.15; RMSE=0.87; BIAS=-0.10

OCEAN: CORR= 0.97; DEV=1.06; RMSE=0.59; BIAS=0.31  
 LAND: CORR= 0.88; DEV=0.98; RMSE=0.81; BIAS=0.14  
 GLOBAL: CORR= 0.97; DEV=1.05; RMSE=0.66; BIAS=0.26

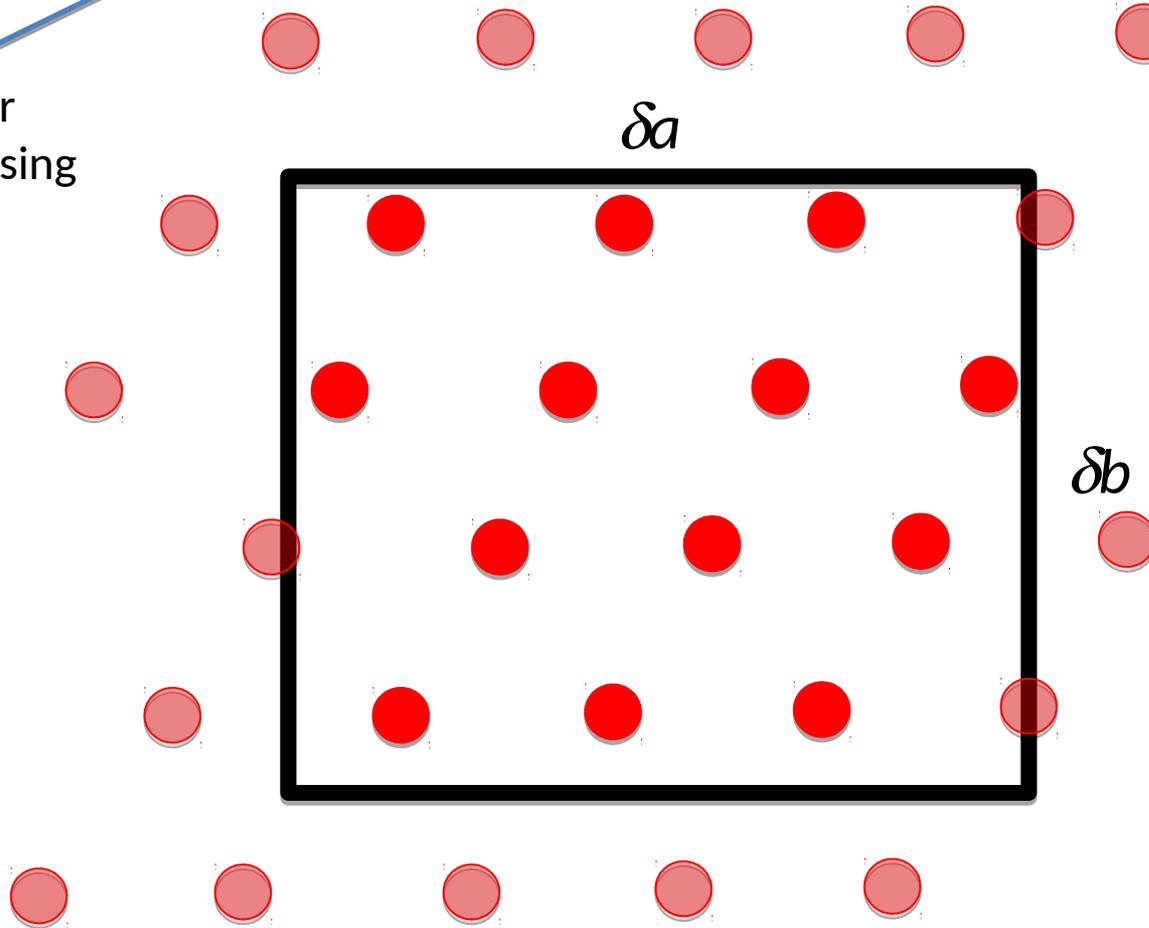


Data points from 1km GMTED or GTOPO30 datasets binned into “3km” cubed sphere grid boxes. From solid red dots we obtain:

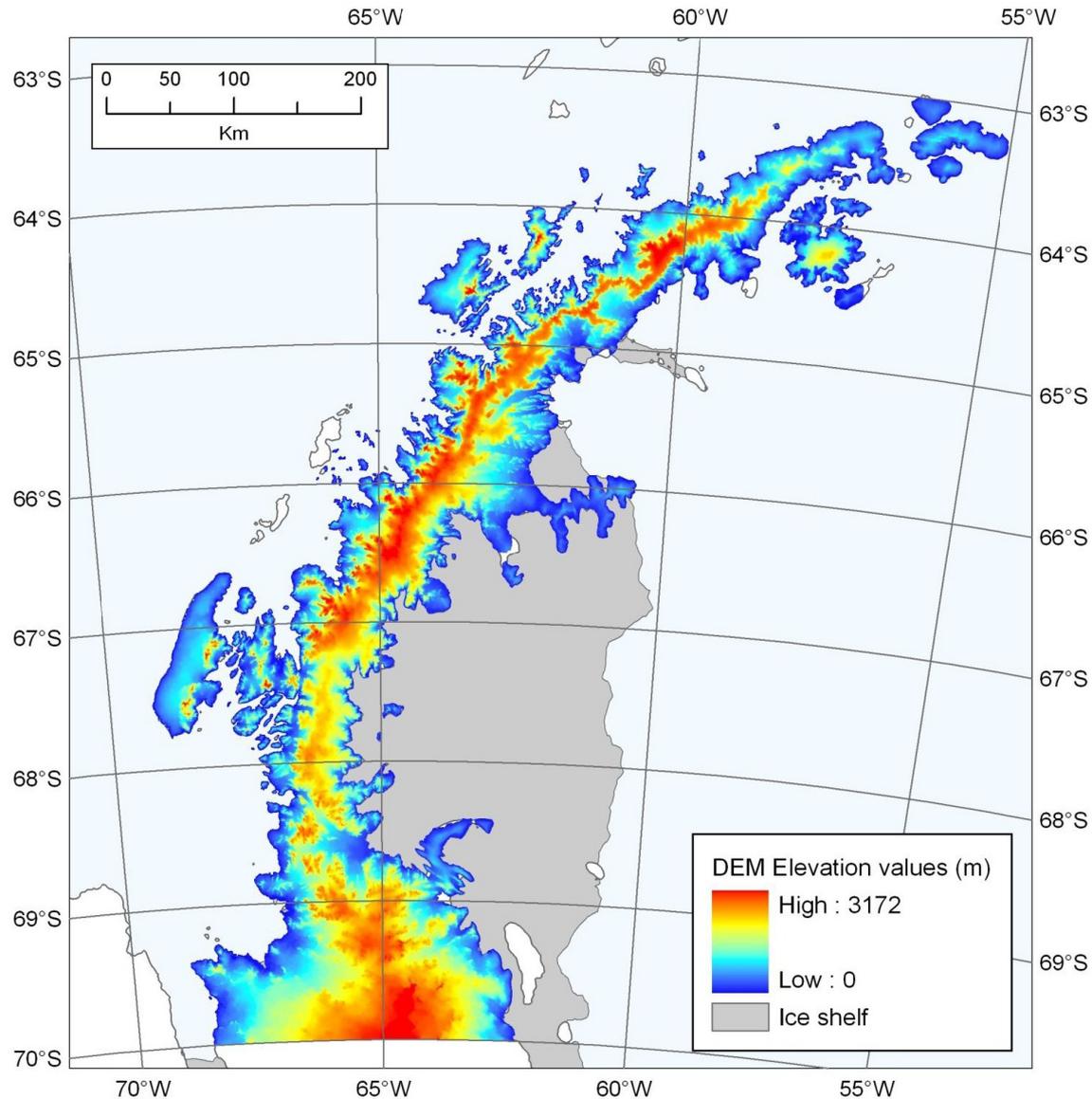
$$\bar{h}_{3km} = \frac{1}{n} \sum_1^n h_i \text{ and } \sigma_{3km} = \frac{1}{n} \sum_1^n (h_i - \bar{h}_{3km})^2$$

Further  
processing

Beljaars  
scheme

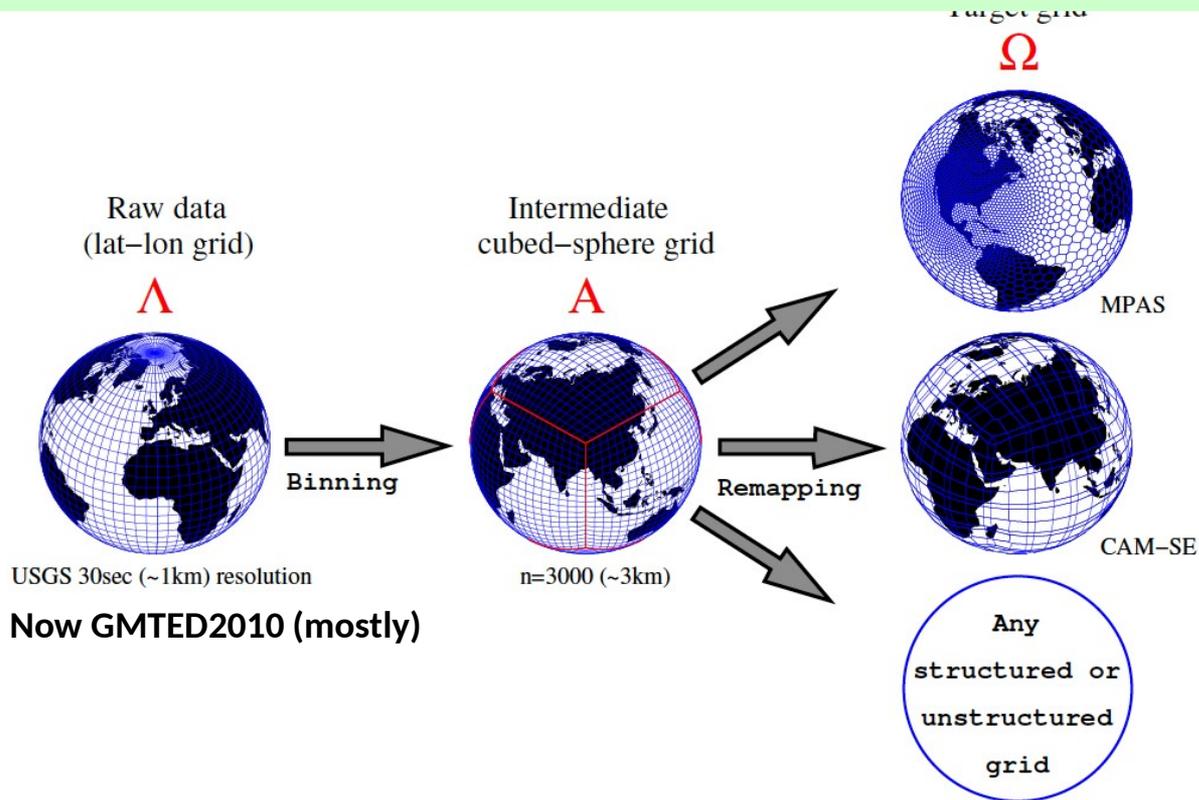


# Antarctic Peninsula 100 m Digital Elevation Model Derived from ASTER GDEM *National Snow and Ice Data Center*



<http://nsidc.org/data/docs/agdc/nsidc0516-cook/>

# Topography Generation



variables:  
 h  
 (height in m)  
 LANDFRAC  
 (land fraction [0,1])

variables:  
 PHIS  
 (surface geopotential)  
 LANDFRAC  
 SGH30  
 (standard deviation  
 of 30sec h)

variables:  
 PHIS  
 LANDFRAC  
 SGH30  
 SGH  
 (standard deviation of  
 ~3km cubed-sphere h)

# Ridge-based orographic drag scheme with low-level nonlinearities

- Anisotropy
- Low-level processes (blocking)
- Multiple ridges